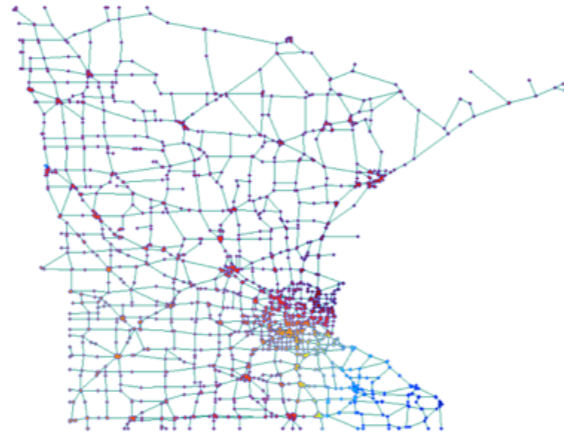


# On the stability of spectral graph filters and beyond: A topological perspective

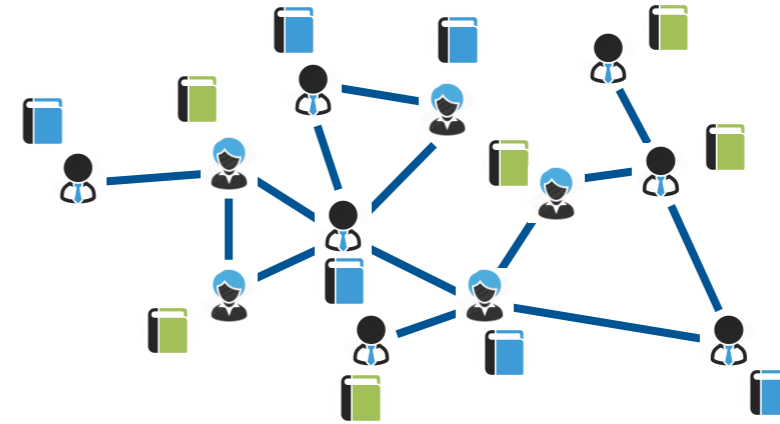
Xiaowen Dong

Department of Engineering Science  
University of Oxford

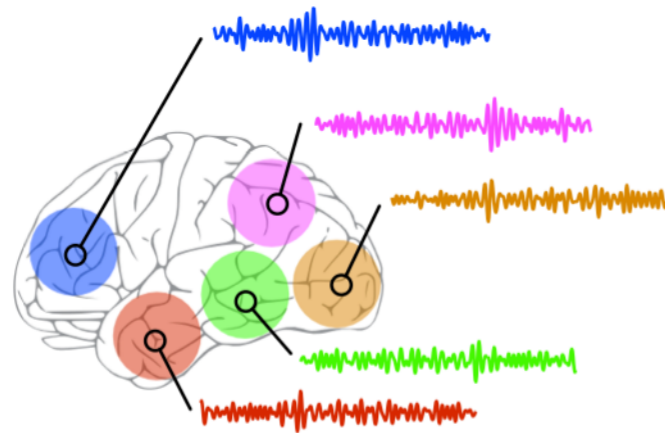
# Graphs-structured data are pervasive



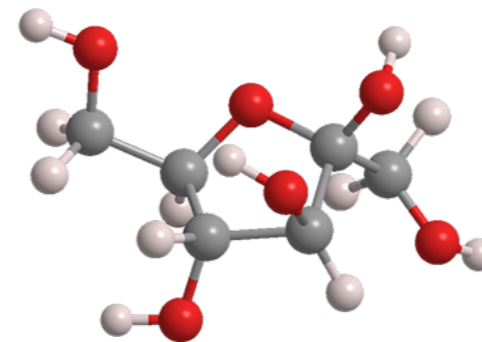
**congestion in road junctions**



**preferences of individuals**

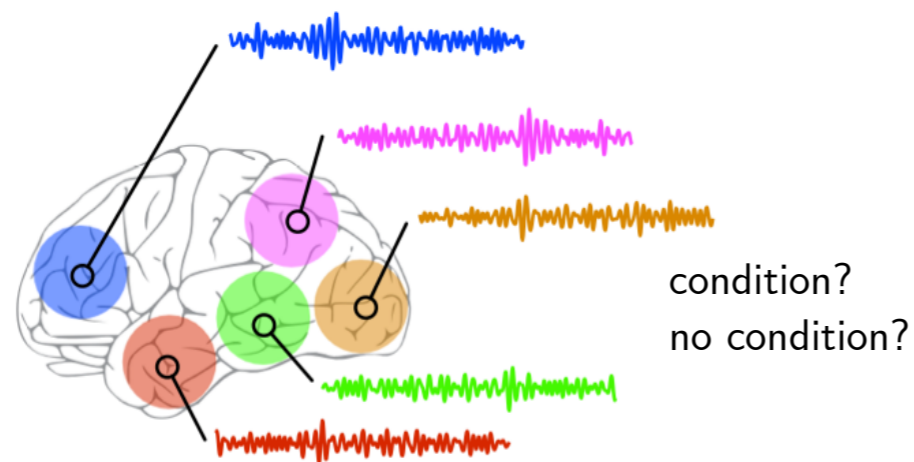


**activities in brain regions**

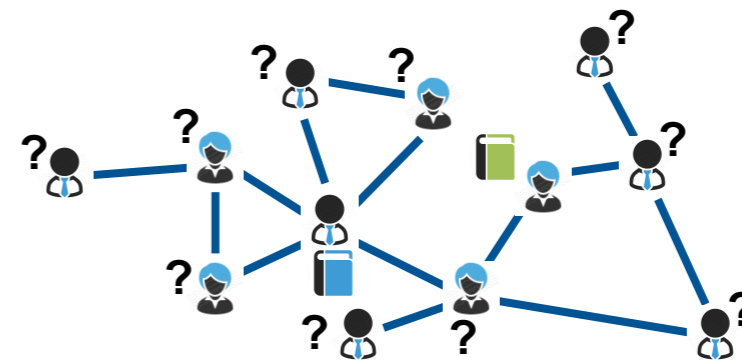


**properties of atoms**

# Learning with graph-structured data

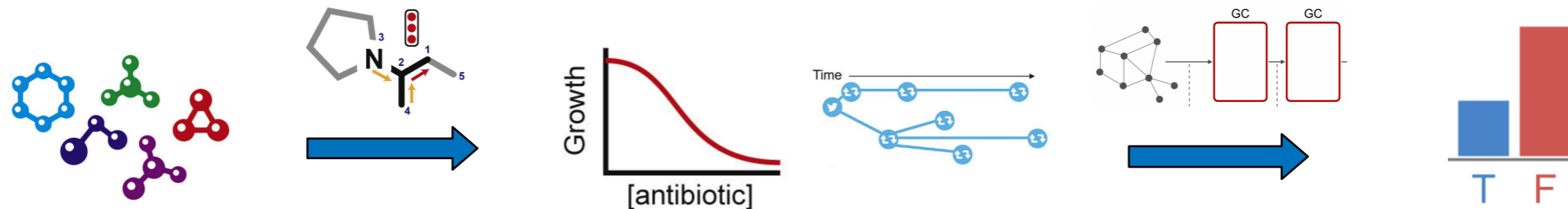


**graph-level classification  
(supervised)**



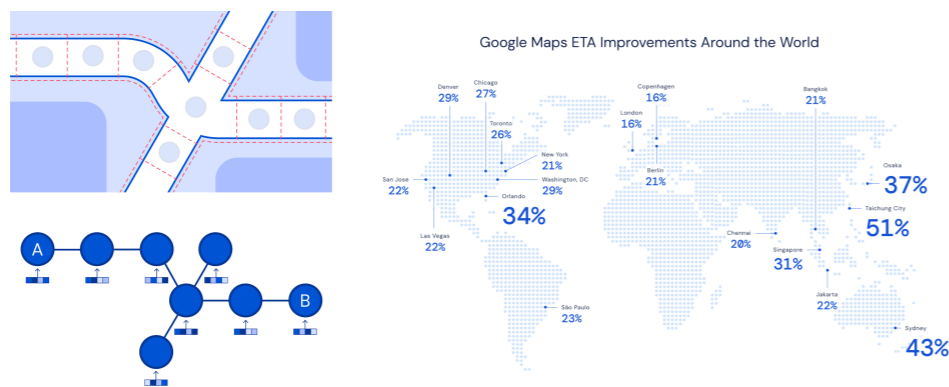
**node-level classification  
(semi-supervised)**

# Exciting possibilities of graph ML

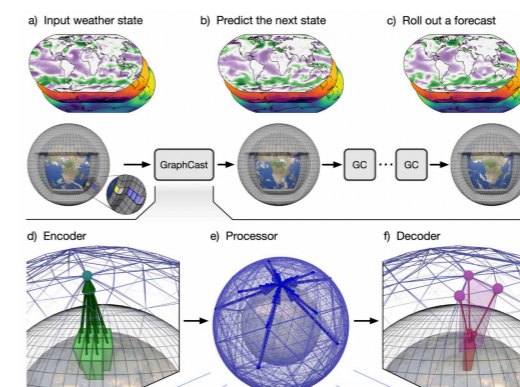


**drug discovery**

**fake news detection**



**traffic prediction**



**weather forecasting**

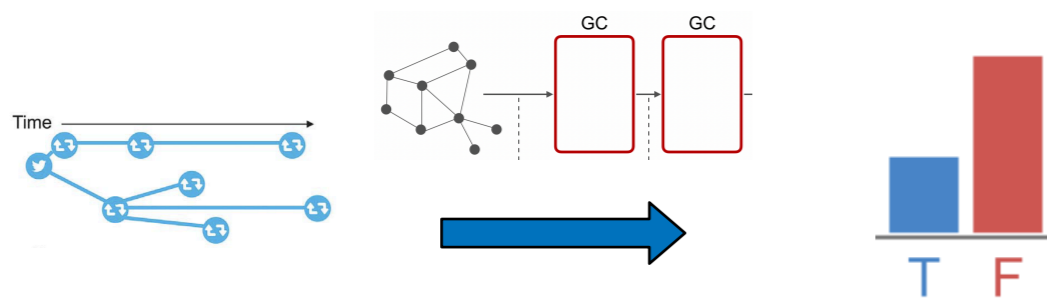
Stokes et al., "A deep learning approach to antibiotic discovery," Cell, 2020.

Monti et al., "Fake news detection on social media using geometric deep learning," ICLR Workshop, 2019.

Derrow-Pinion et al., "ETA Prediction with Graph Neural Networks in Google Maps," CIKM, 2021.

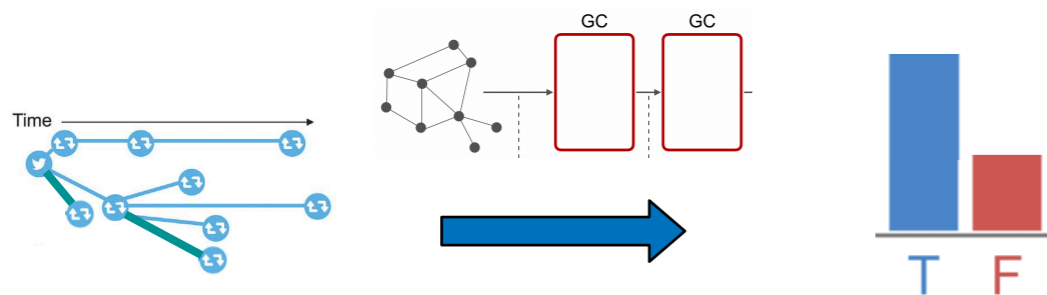
Lam et al., "Learning skillful medium-range global weather forecasting," Science, 2023.

# Limitation and open questions



## One limitation

- need accurate, deterministic, a priori known graph structure
- susceptible to perturbation to input graph domain



## Two open questions

- under **which conditions** are graph models robust against perturbation?
- how does robustness relate to **topological properties** of perturbation?

# Outline



- Brief introduction to spectral graph filters
- Interpretable stability bounds for spectral graph filters
- Further results on robustness of graph machine learning models

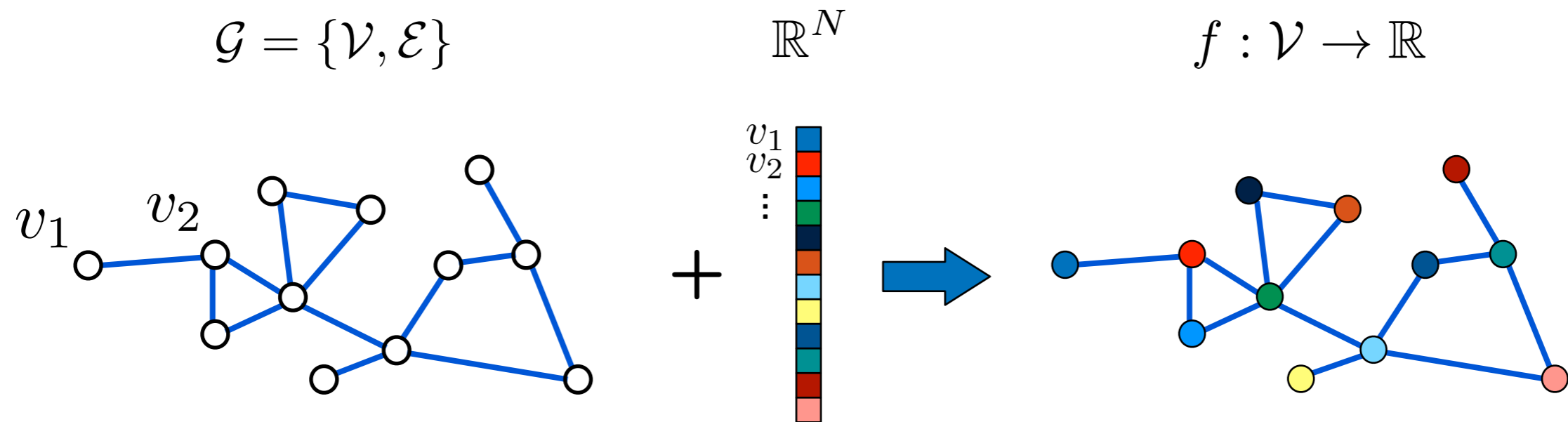
# Outline



- Brief introduction to spectral graph filters
- Interpretable stability bounds for spectral graph filters
- Further results on robustness of graph machine learning models

# Graph signal processing

- Graph-structured data can be represented by graph signals

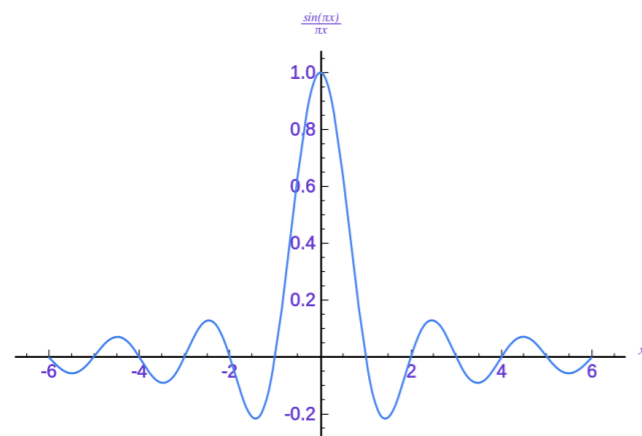


takes into account both **structure (edges)** and **data (values at nodes)**



# Graph signal processing

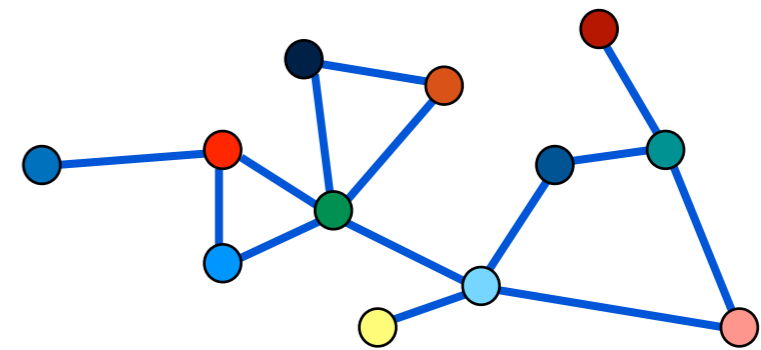
1D signal



2D signal

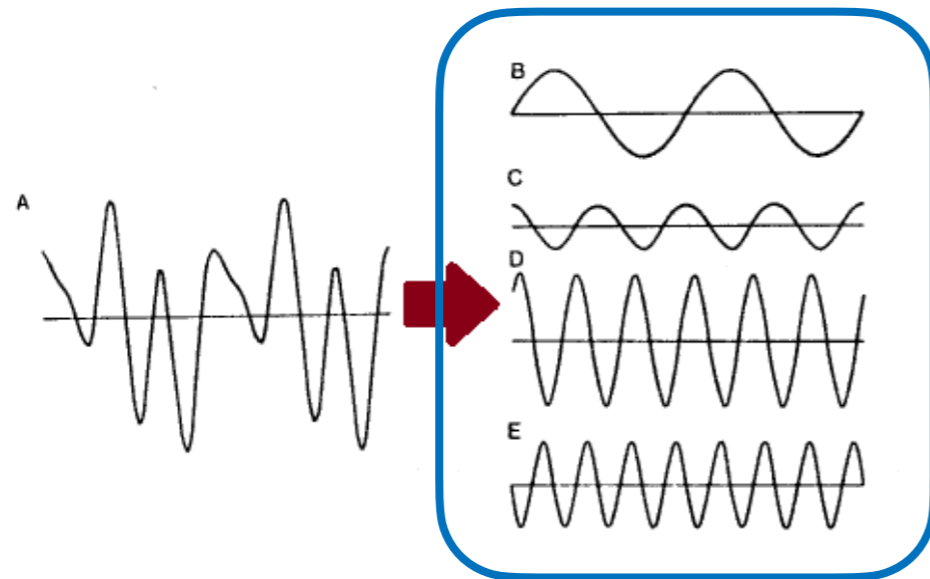


$$f : \mathcal{V} \rightarrow \mathbb{R}$$



how to generalise **classical** signal processing tools (e.g. convolution)  
on irregular domains such as **graphs**?

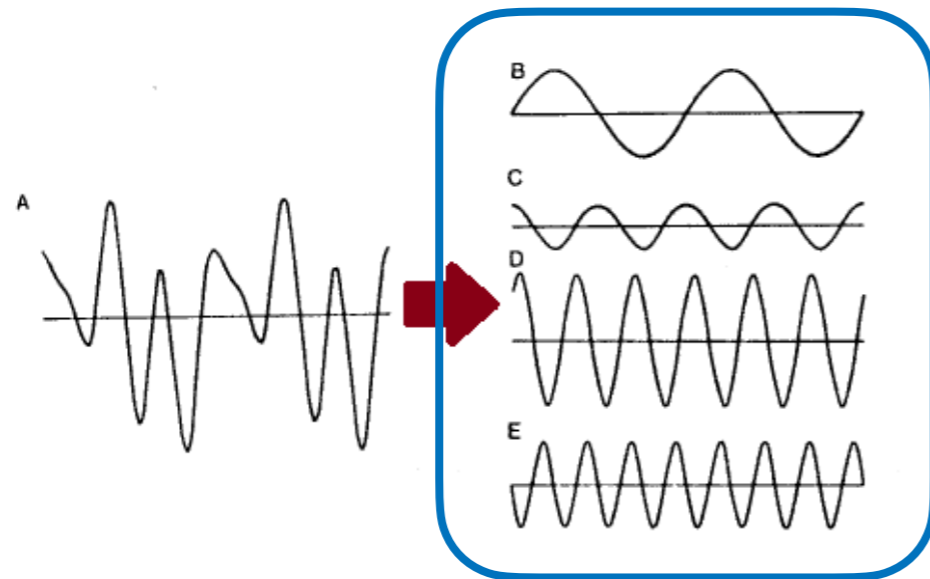
# Graph signal processing



classical signal processing

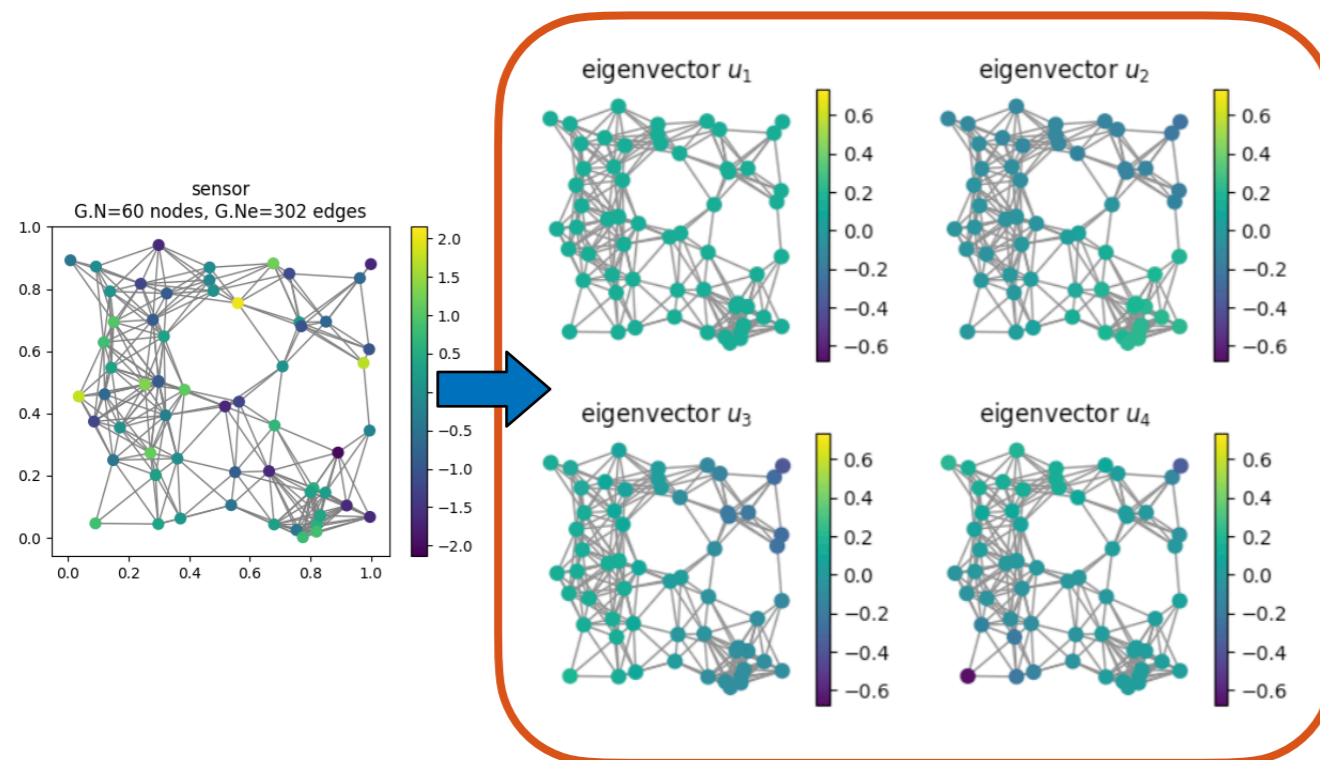
- complex exponentials (eigenfunctions of Laplace operator) provide “building blocks” (different frequencies) of 1D signal
- leads to **Fourier transform**

# Graph signal processing



## classical signal processing

- complex exponentials (eigenfunctions of Laplace operator) provide “building blocks” (different frequencies) of 1D signal
- leads to **Fourier transform**



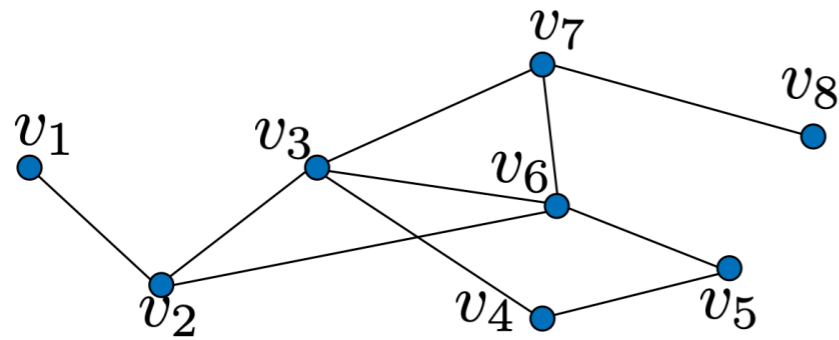
## graph signal processing

- eigenvectors of graph Laplacian provide “building blocks” (different frequencies) of graph signal
- leads to **graph Fourier transform**
- enables convolution and filtering on graphs

# Graph Laplacian

weighted and undirected graph:

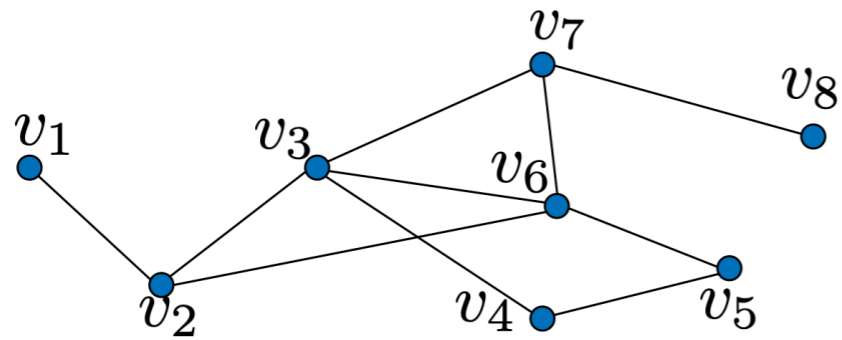
$$\mathcal{G} = \{\mathcal{V}, \mathcal{E}\}$$



$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

$W$

# Graph Laplacian



weighted and undirected graph:

$$\mathcal{G} = \{\mathcal{V}, \mathcal{E}\}$$

$$D = \text{diag}(d(v_1), \dots, d(v_N))$$

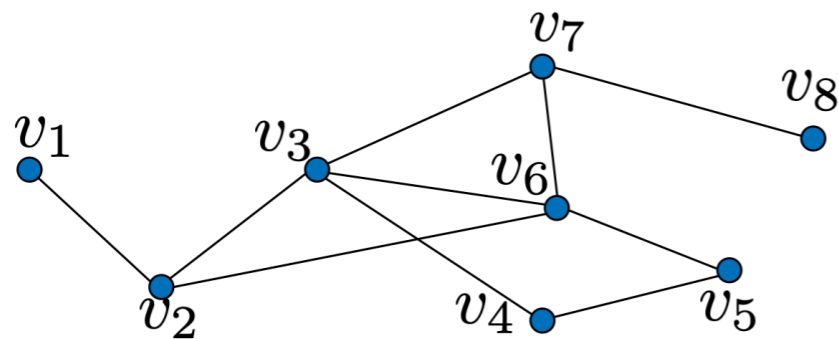
$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 2 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}$$

$D$

$$\begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

$W$

# Graph Laplacian



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$$D = \text{diag}(d(v_1), \dots, d(v_N))$$

$$L = D - W \quad \text{equivalent to } \mathbf{G}!$$

$$L_{\text{norm}} = D^{-\frac{1}{2}} (D - W) D^{-\frac{1}{2}}$$

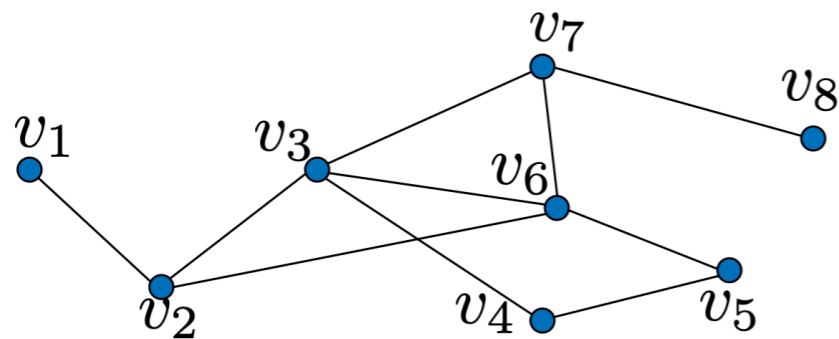
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$D$

$W$

$L$

# Graph Laplacian



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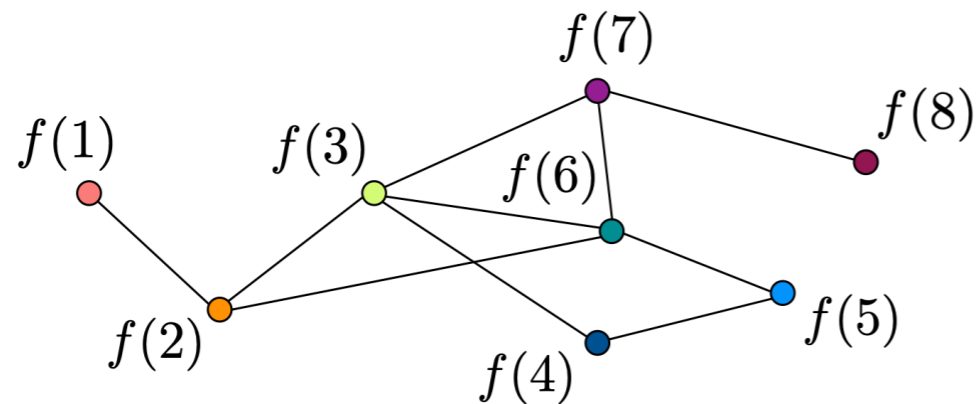
$D$

$W$

$L$

- eigendecomposition:  $L = \chi \Lambda \chi^T$

# Graph Laplacian



weighted and undirected graph:

$$\mathcal{G} = \{\mathcal{V}, \mathcal{E}\}$$

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$D$

$W$

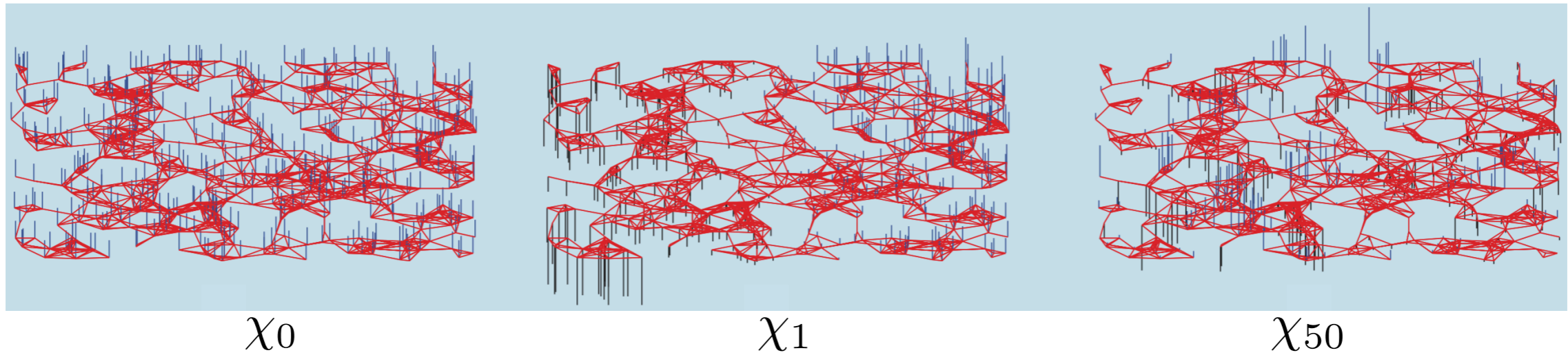
$L$

- eigendecomposition:  $L = \chi \Lambda \chi^T$
- measures signal **smoothness**:

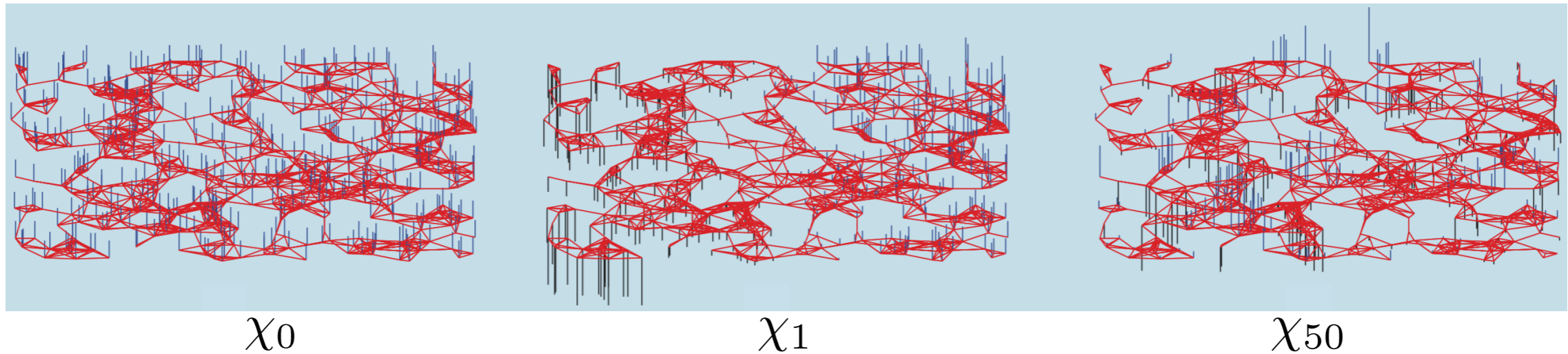
$$f^T L f = \frac{1}{2} \sum_{i,j=1}^N W_{ij} (f(i) - f(j))^2$$



# Graph Fourier transform



# Graph Fourier transform



low frequency

high frequency

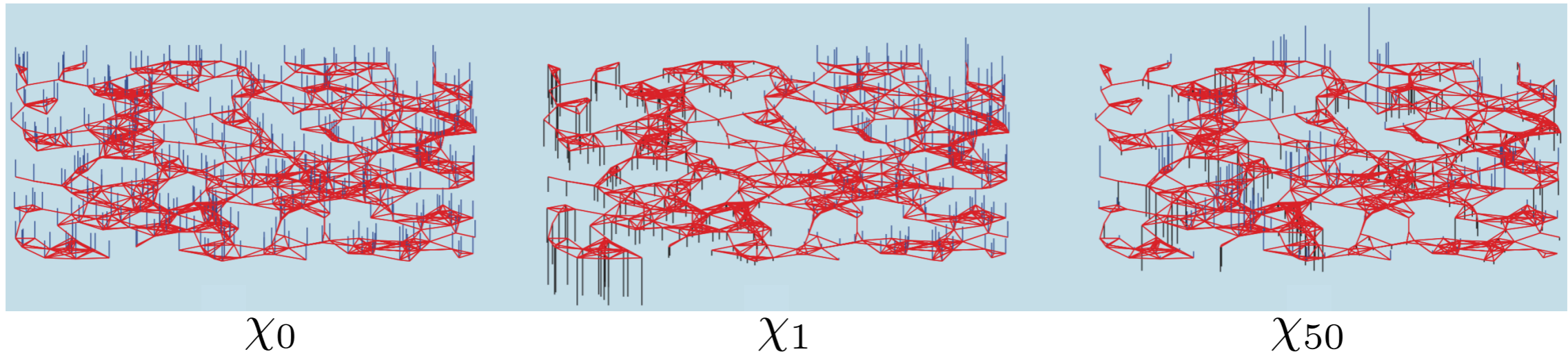
$$L = \chi \Lambda \chi^T$$

$$\chi_0^T L \chi_0 = \lambda_0 = 0$$

$$\chi_{50}^T L \chi_{50} = \lambda_{50}$$

- Eigenvectors associated with smaller eigenvalues have values that vary less rapidly along the edges

# Graph Fourier transform



low frequency

high frequency

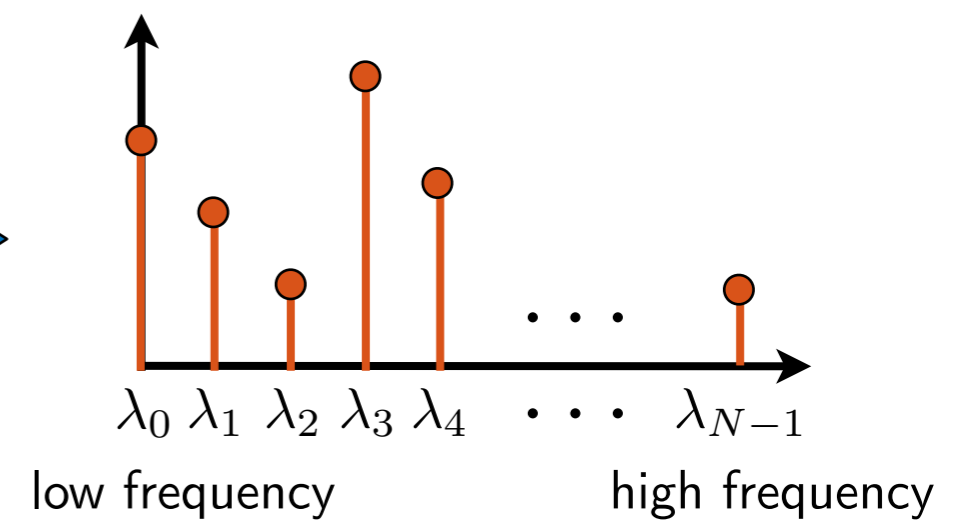
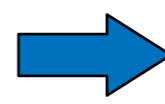
$$\chi_0^T L \chi_0 = \lambda_0 = 0$$

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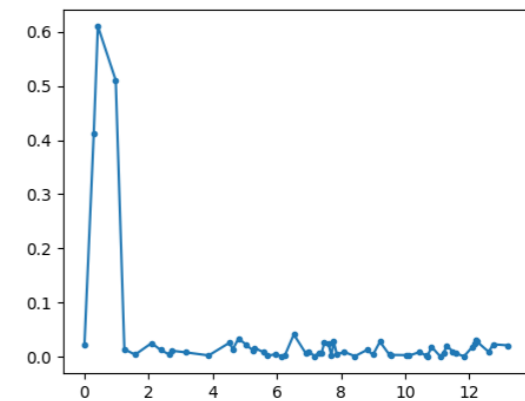
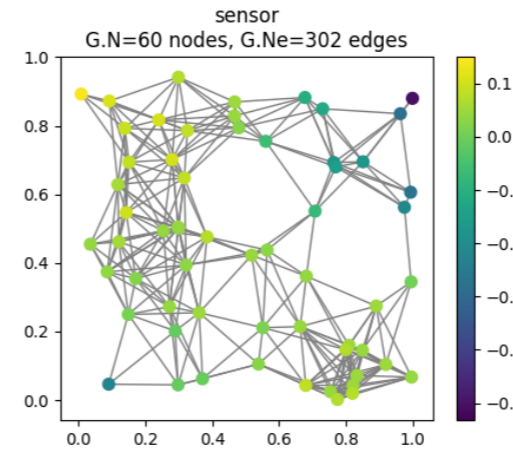
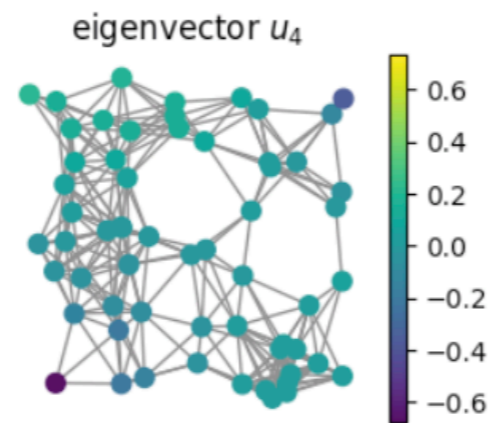
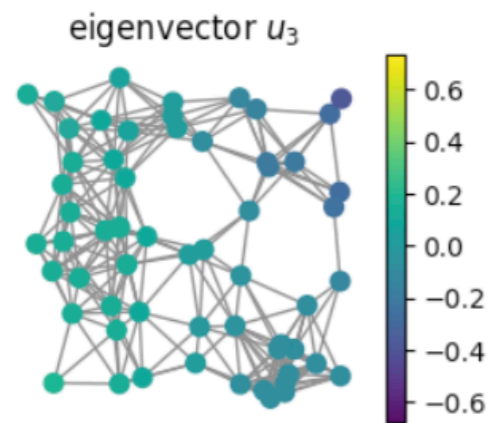
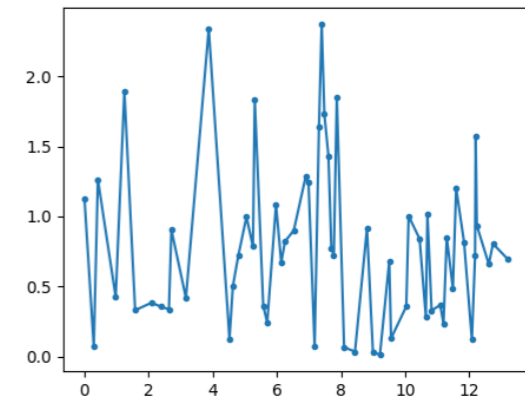
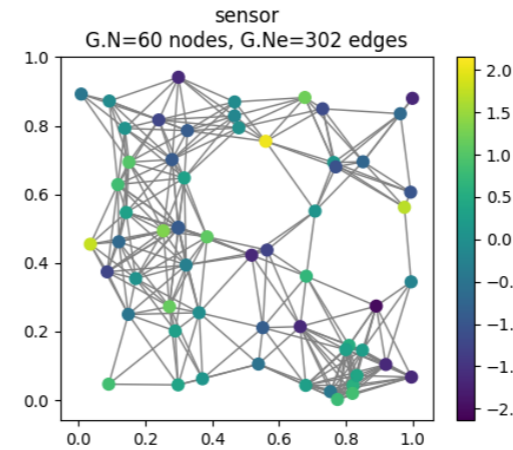
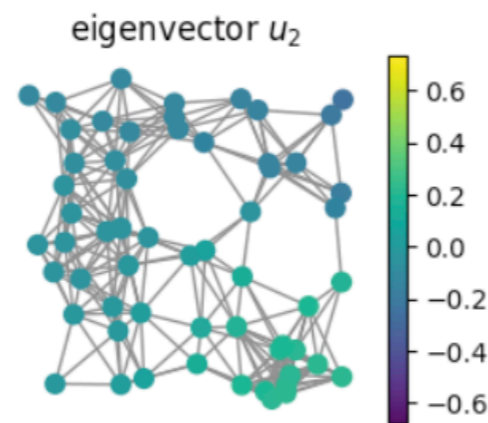
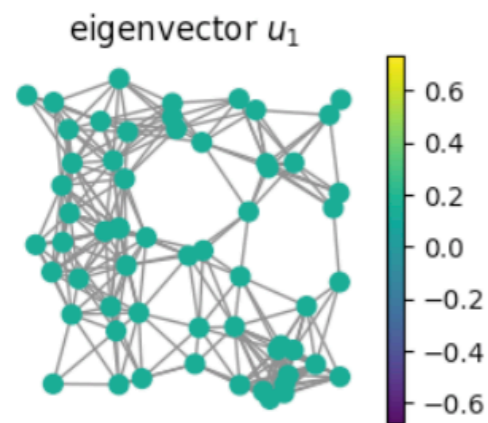
graph Fourier transform (GFT):

$$\hat{f}(\ell) = \langle \chi_\ell, f \rangle : \begin{bmatrix} | & & | \\ \chi_0 & \cdots & \chi_{N-1} \\ | & & | \end{bmatrix}^T \begin{bmatrix} | \\ f \\ | \end{bmatrix}$$



# Graph Fourier transform

GFT:  $\hat{f}(\ell) = \langle \chi_\ell, f \rangle : \begin{bmatrix} | & & & | \\ \chi_0 & \cdots & \chi_{N-1} & \\ | & & & | \end{bmatrix}^T \begin{bmatrix} | \\ f \\ | \end{bmatrix}$



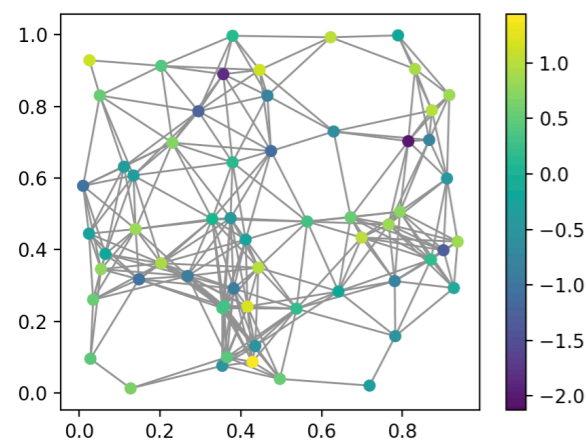
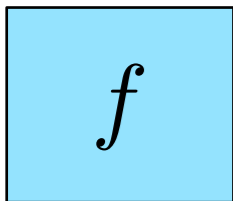
# Graph spectral filtering



$$\text{GFT: } \hat{f}(\ell) = \langle \chi_\ell, f \rangle = \sum_{i=1}^N \chi_\ell^*(i) f(i) \quad \text{IGFT: } f(i) = \sum_{\ell=0}^{N-1} \hat{f}(\ell) \chi_\ell(i)$$

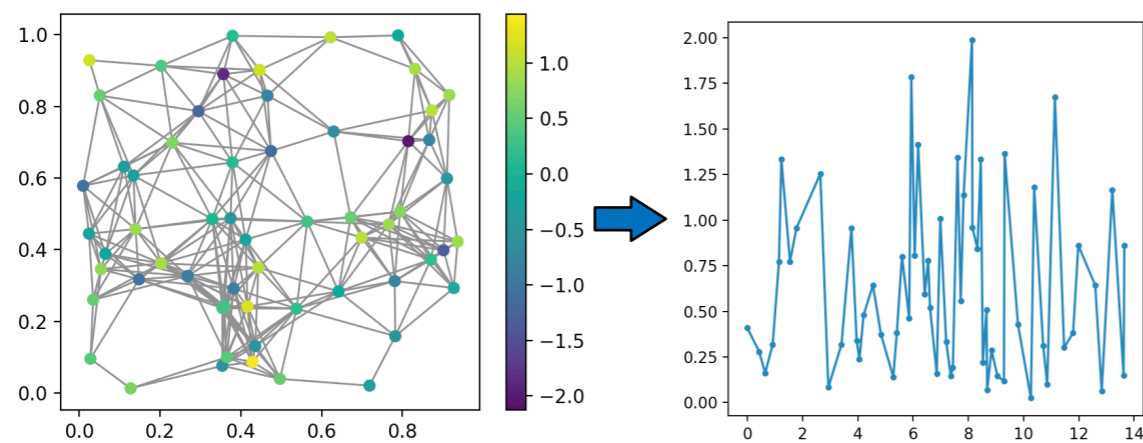
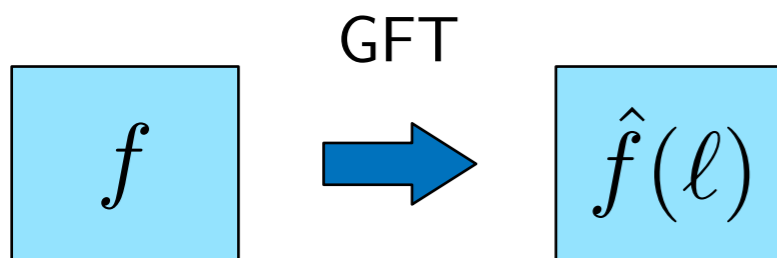
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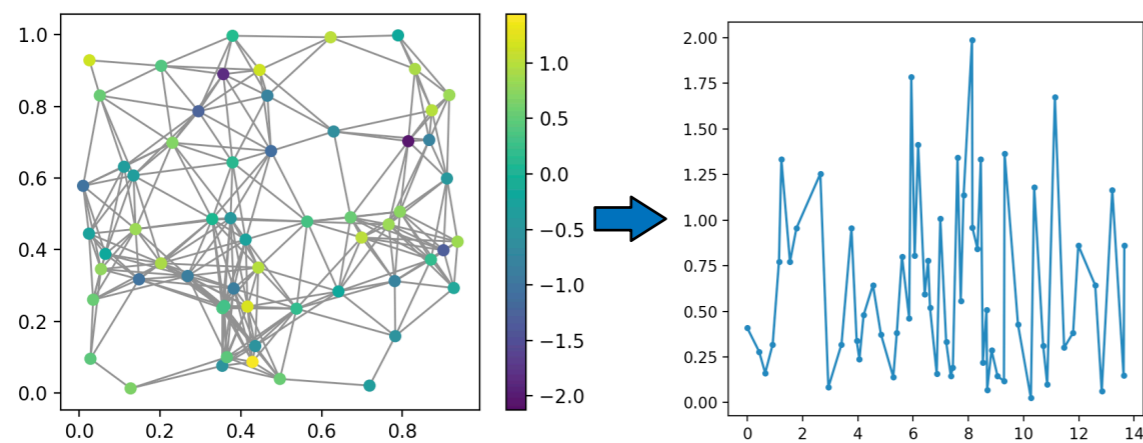
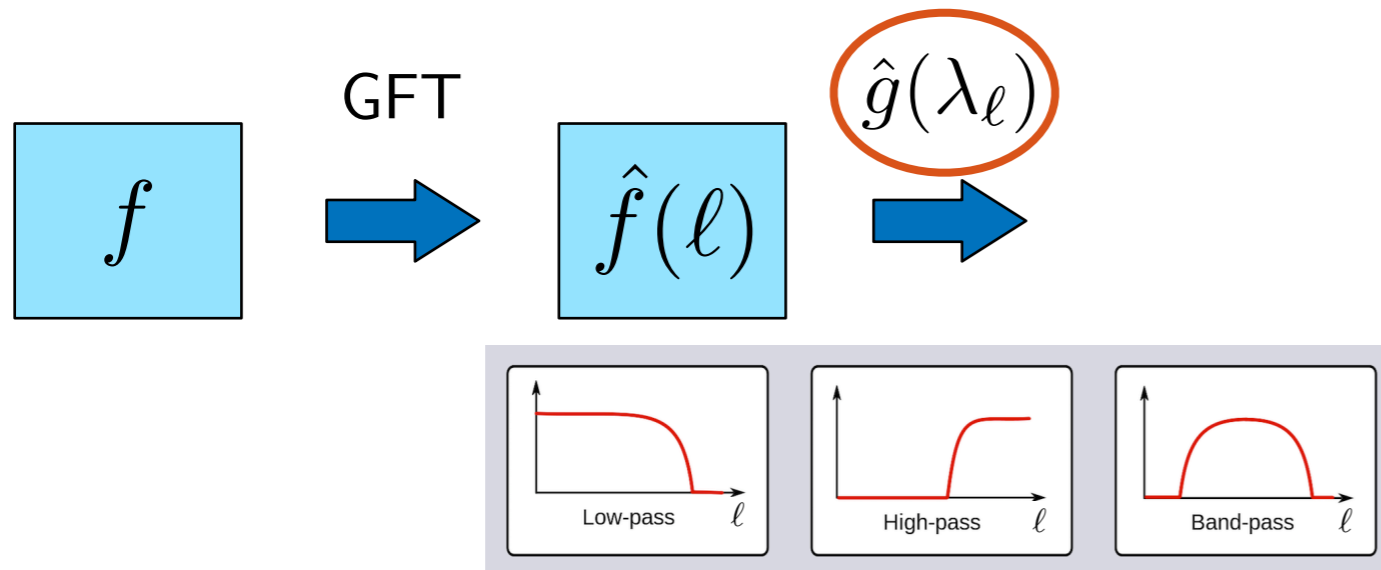
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# Graph spectral filtering

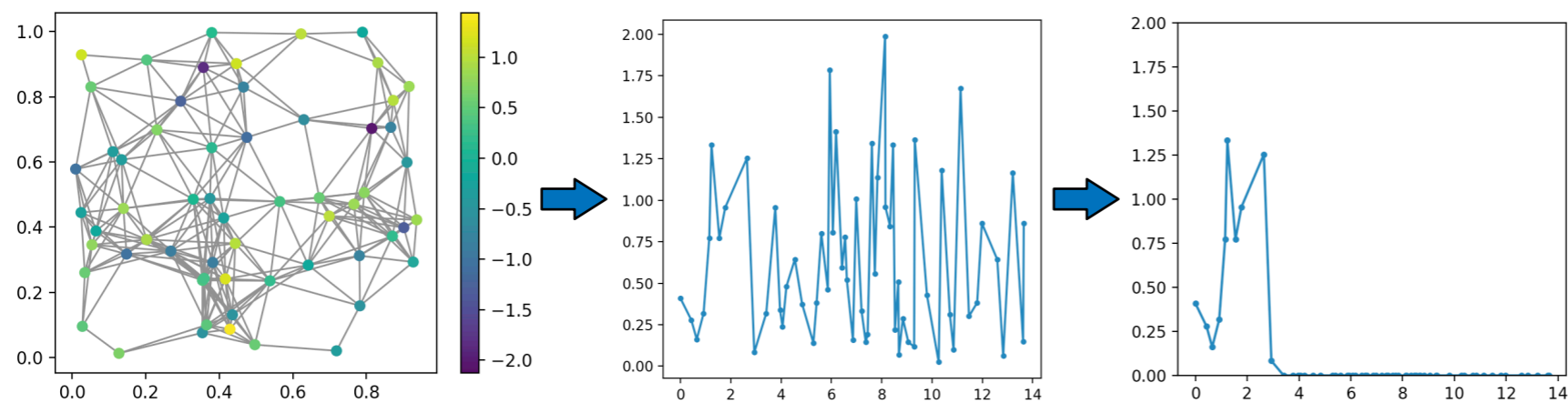
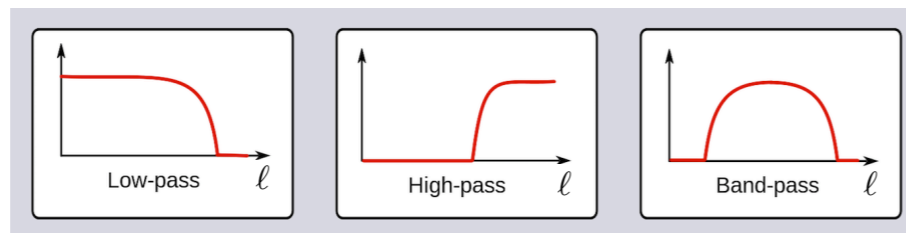
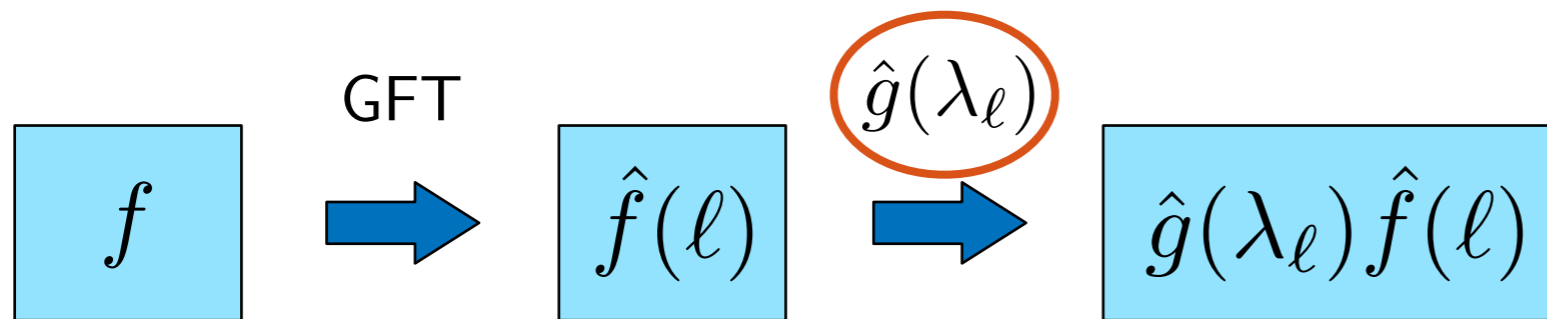
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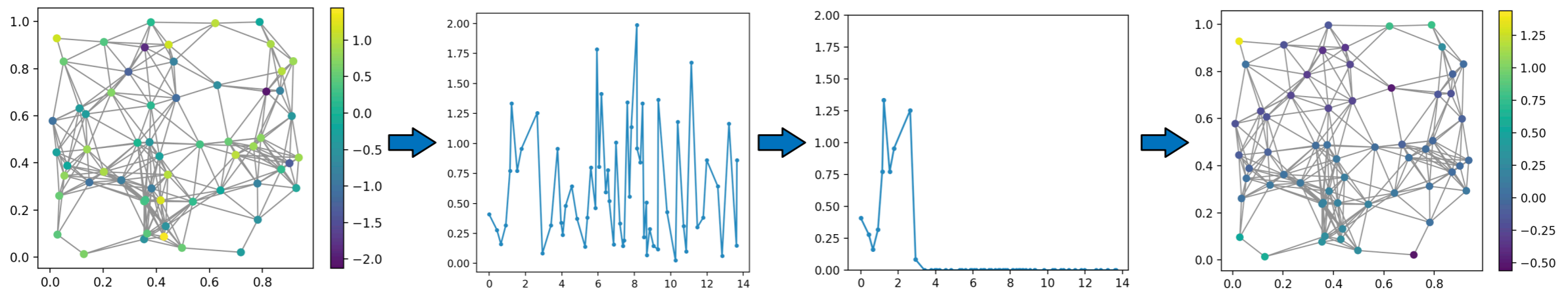
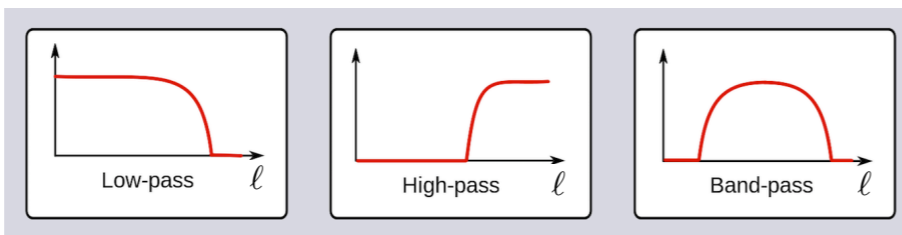
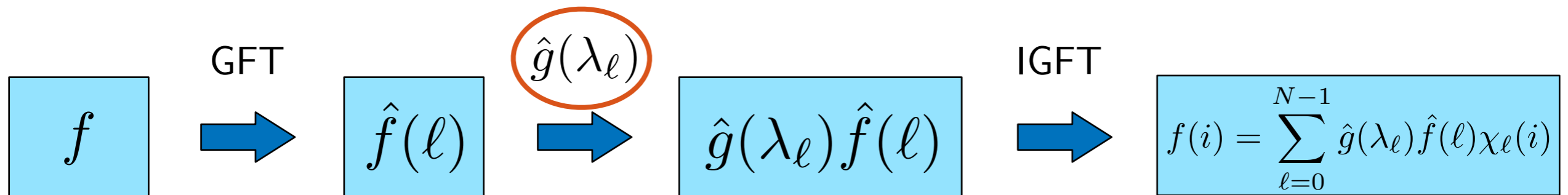
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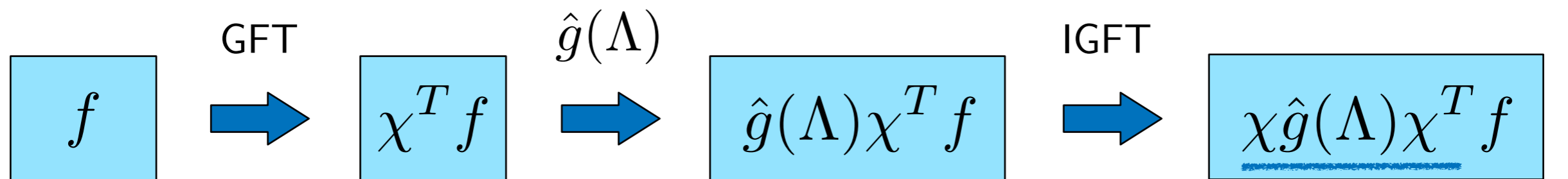
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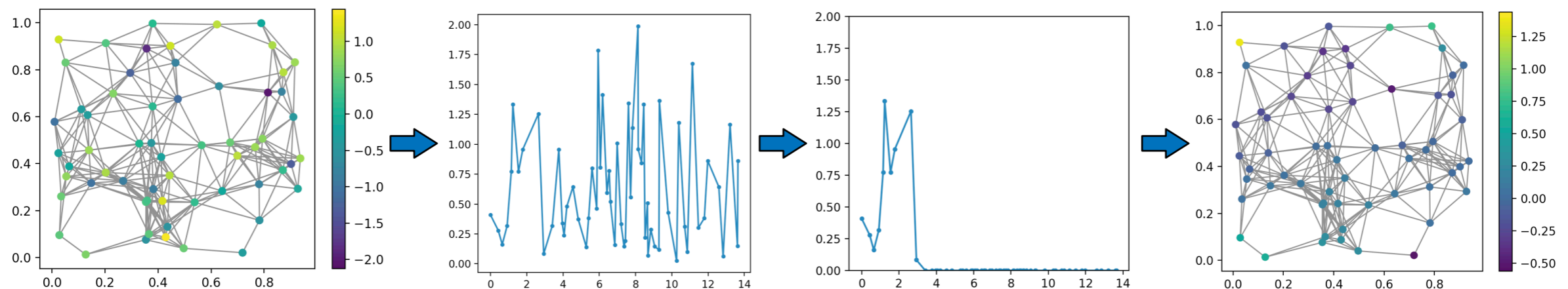


# Graph spectral filtering

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**spectral graph filter**  
 $\hat{g}(L) : \text{function of } L!$



# Convolution on graphs: spectral view

## classical convolution

time domain

$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$

## convolution on graphs



# Convolution on graphs: spectral view

## classical convolution

time domain

$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$



frequency domain

$$\widehat{(f * g)}(\omega) = \hat{f}(\omega) \cdot \hat{g}(\omega)$$

## convolution on graphs



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## convolution on graphs

graph spectral domain

$$\widehat{(f * g)}(\lambda) = ((\chi^T f) \circ \hat{g})(\lambda)$$



# Convolution on graphs: spectral view

## classical convolution

time domain

$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$



frequency domain

$$\widehat{(f * g)}(\omega) = \hat{f}(\omega) \cdot \hat{g}(\omega)$$

## convolution on graphs

spatial (node) domain

$$f * g = \chi \hat{g}(\Lambda) \chi^T f = \hat{g}(L) f \quad \text{convolution} \\ = \text{filtering}$$

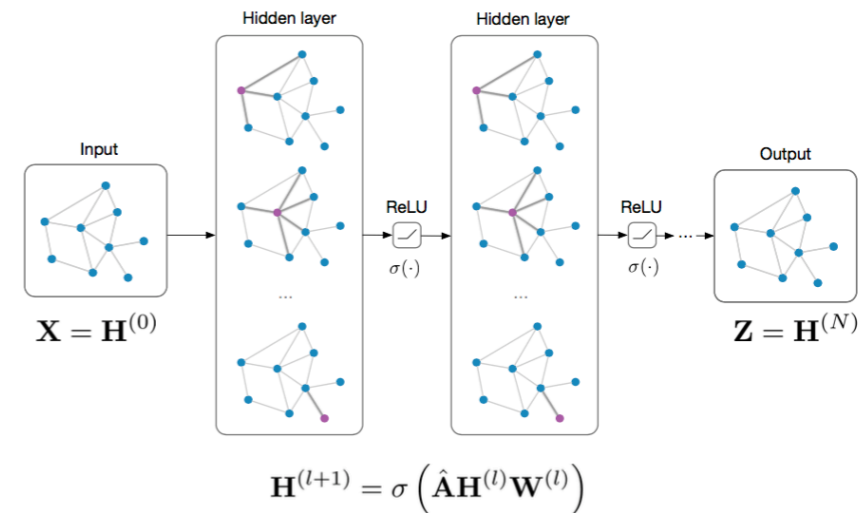
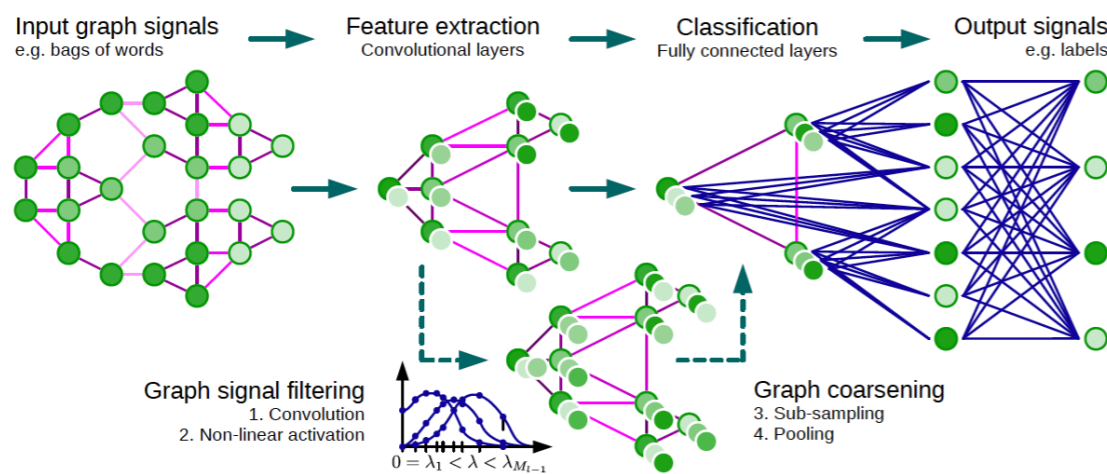
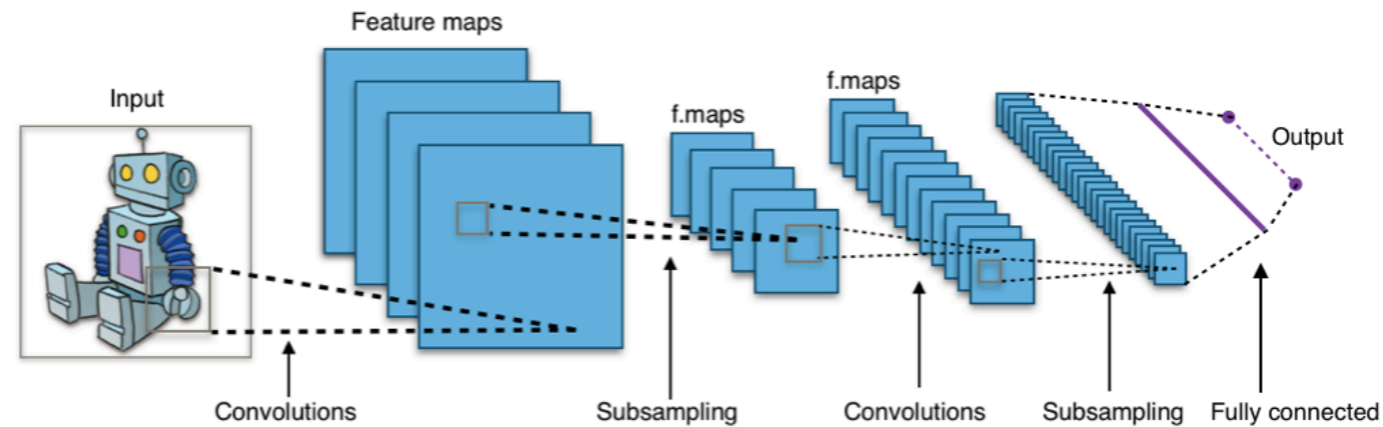


graph spectral domain

$$\widehat{(f * g)}(\lambda) = ((\chi^T f) \circ \hat{g})(\lambda)$$



# CNNs on graphs: spectral view



Defferrard et al., "Convolutional neural networks on graphs with fast localized spectral filtering," NIPS, 2016.

Kipf and Welling, "Semi-supervised classification with graph convolutional networks," ICLR, 2017.



# Convolution & CNNs on graphs: spatial view



$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$

$$(f * g)(t) = \int_{-\infty}^{\infty} f(\tau)g(t - \tau)d\tau$$

# Convolution & CNNs on graphs: spatial view

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$$g(S)f = \sum_{k=0}^K \theta_k S^k f$$

- spatial definition of convolution based on a graph shift operator (GSO)

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- spatial definition of convolution based on a graph shift operator (GSO)

$$(f * g)(t) = \int_{-\infty}^{\infty} f(\tau)g(t - \tau)d\tau$$



$$D(v)f = \sum_{v'} f(v')u(v, v')$$

- weighting function  $u(v, v')$  determines relative importance of neighbours

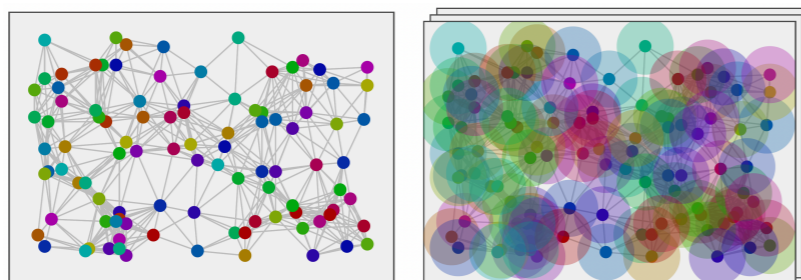
# Convolution & CNNs on graphs: spatial view

$$(f * g)(t) = \int_{-\infty}^{\infty} f(t - \tau)g(\tau)d\tau$$



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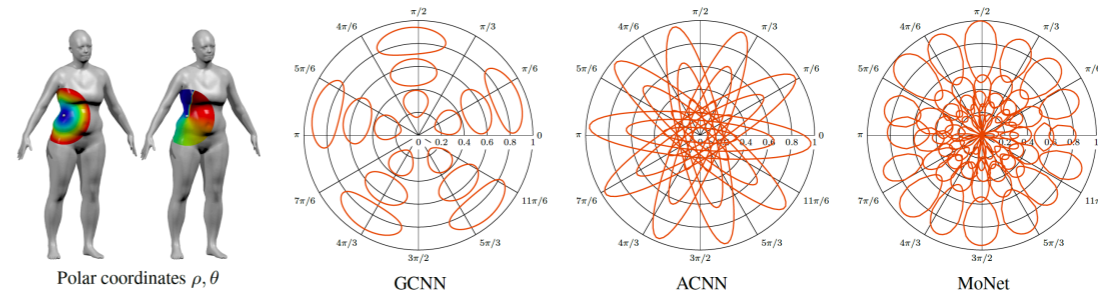


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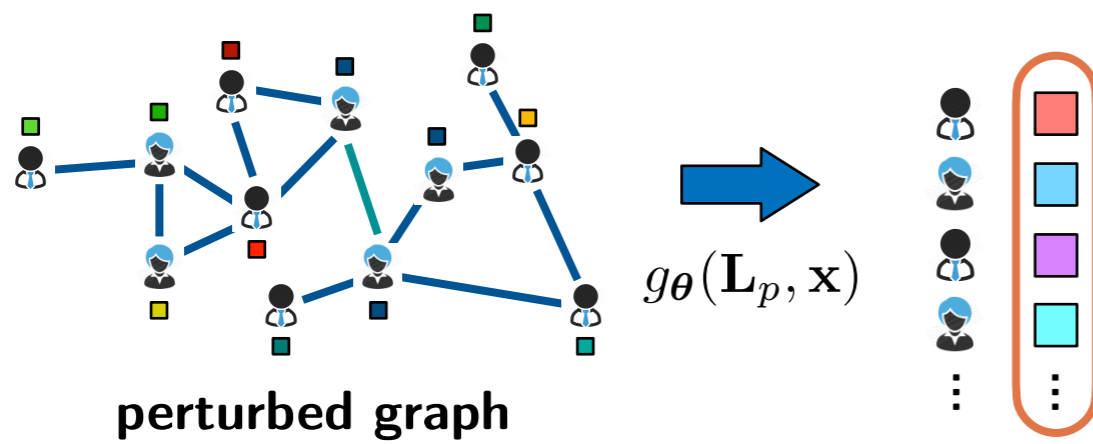
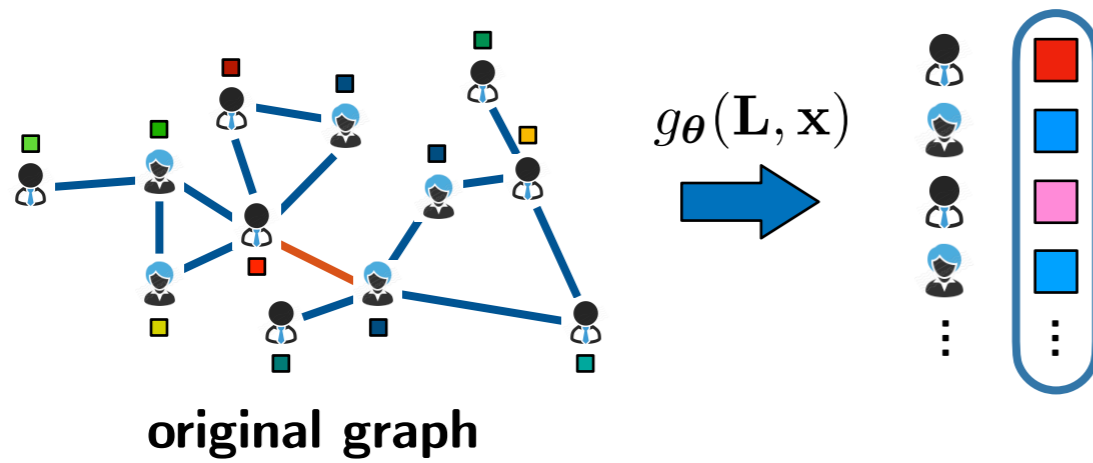


# Outline

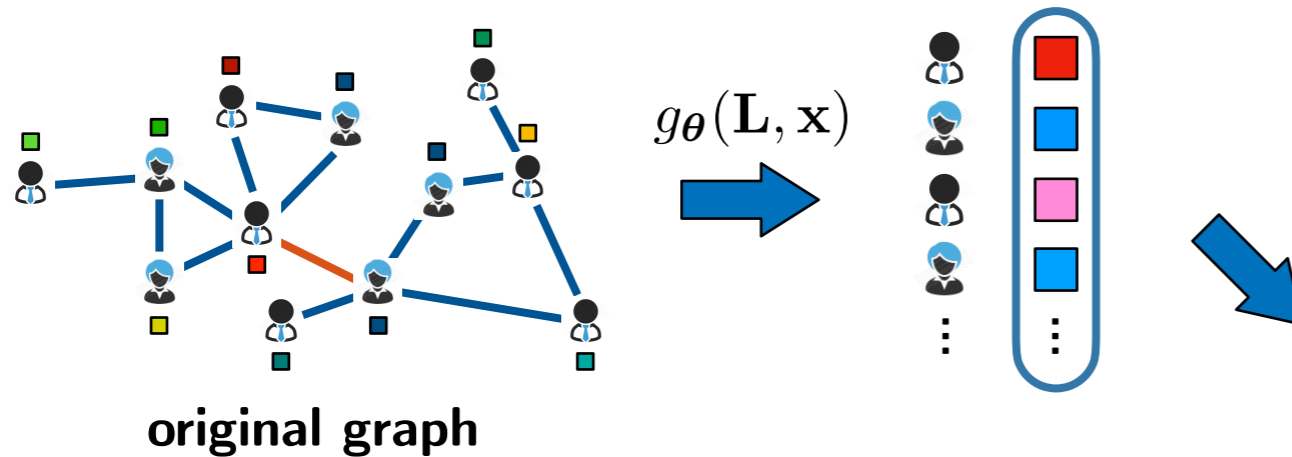


- Brief introduction to spectral graph filters
- Interpretable stability bounds for spectral graph filters
- Further results on robustness of graph machine learning models

# Problem setting



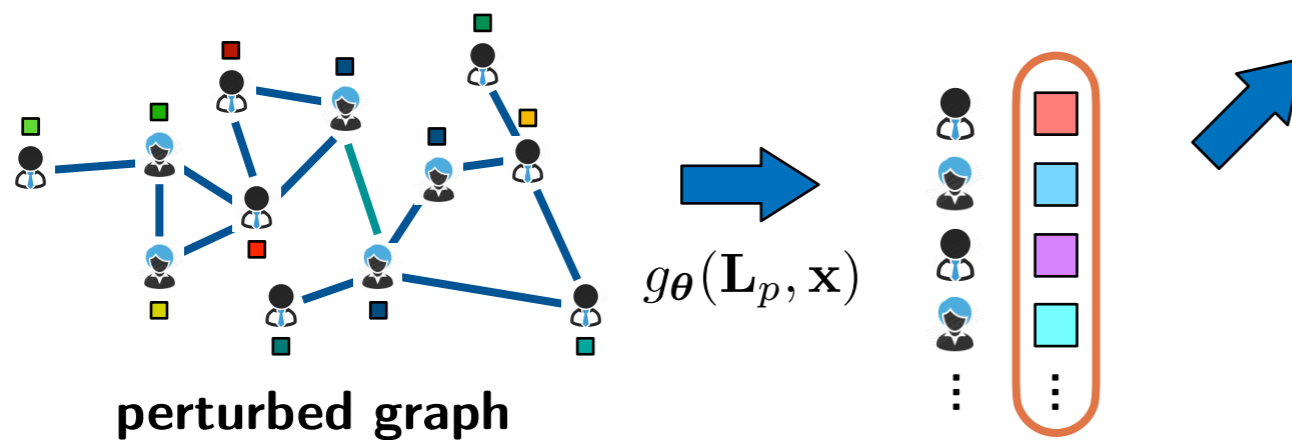
# Problem setting



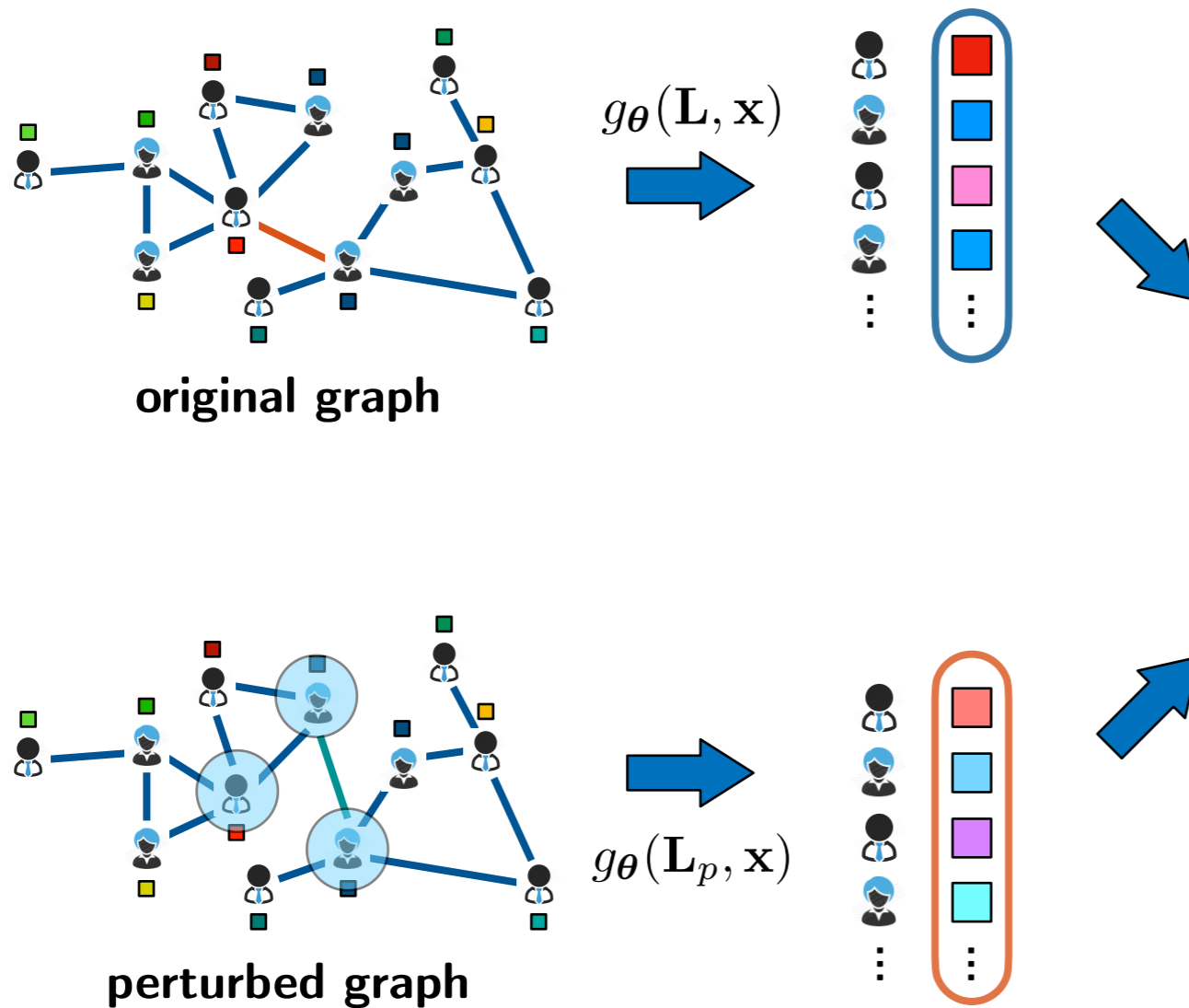
## Objectives

- how filter output changes under perturbation

$$\frac{\|g_{\theta}(\mathbf{L}, \mathbf{x}) - g_{\theta}(\mathbf{L}_p, \mathbf{x})\|_2}{\|\mathbf{x}\|_2}$$



# Problem setting



## Objectives

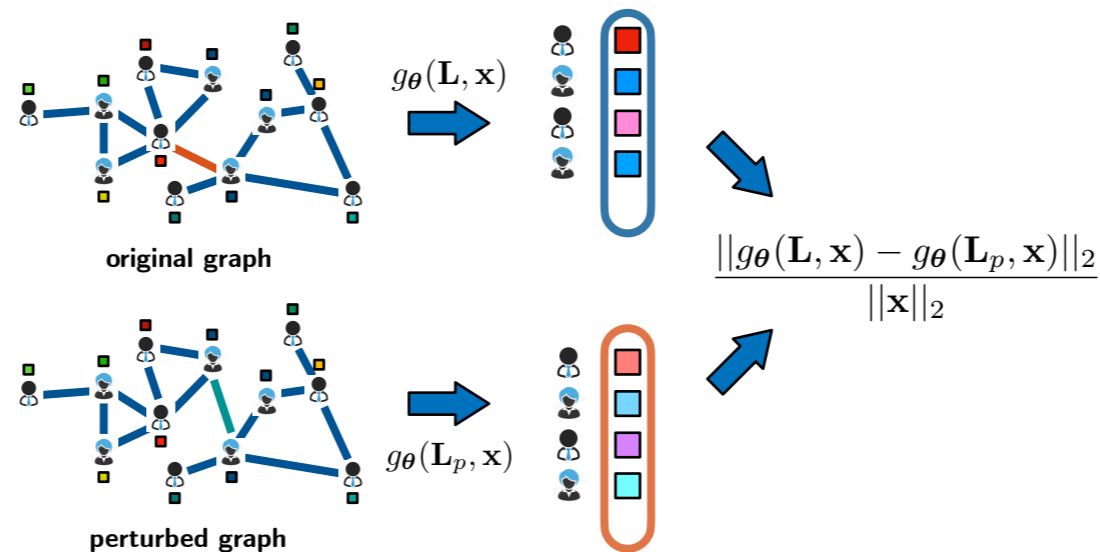
- how filter output changes under perturbation

$$\frac{\|g_{\theta}(\mathbf{L}, \mathbf{x}) - g_{\theta}(\mathbf{L}_p, \mathbf{x})\|_2}{\|\mathbf{x}\|_2}$$

- impact of topological properties of perturbation



# Problem setting



## Setting

- stability via relative output distance:  $\frac{\|g_{\theta}(\mathbf{L}, \mathbf{x}) - g_{\theta}(\mathbf{L}_p, \mathbf{x})\|_2}{\|\mathbf{x}\|_2}$
- filter parameters  $\theta$  fixed
- filter defined via normalised Laplacian:  $\mathbf{L}_{uv} = \begin{cases} 1 & \text{if } u = v \\ \frac{-1}{\sqrt{d_u d_v}} & \text{if } u \sim v \text{ and } u \neq v \\ 0 & \text{otherwise} \end{cases}$
- binary graph and edge deletions/additions
- error (perturbation) matrix:  $\mathbf{E} = \mathbf{L}_p - \mathbf{L}$

# Main result



$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

Levie et al., "On the transferability of spectral graph filters," IEEE SampTA, 2019.

Gama et al., "Stability properties of graph neural networks," IEEE TSP, 2020.

Kenlay et al., "On the stability of polynomial spectral graph filters," IEEE ICASSP, 2020.

Kenlay et al., "Interpretable stability bounds for spectral graph filters," ICML, 2021.

# Main result

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

step 1

by definition of filter distance:  $\max_{\mathbf{x} \neq \mathbf{0}} \frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \stackrel{\text{def}}{=} \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2$

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# Main result

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

step 1

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step 2

by linear stability of spectral graph filters [Levie19,Gama20,Kenlay20]

Levie et al., "On the transferability of spectral graph filters," IEEE SampTA, 2019.

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# Main result

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

step 1

by definition of filter distance:  $\max_{\mathbf{x} \neq \mathbf{0}} \frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \stackrel{\text{def}}{=} \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2$

step 2

by linear stability of spectral graph filters [Levie19,Gama20,Kenlay20]

step 3

by linking norm of error matrix to topological change [Kenlay21]

interpretable bound: stability of spectral graph filters in terms of **topological properties** of graph and edges added/deleted

# Linear stability of spectral graph filters

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

**Consider low-pass filter**  $g(\lambda) = (1 + \alpha\lambda)^{-1}$

**Proof** Let  $\mathbf{X} = \mathbf{I}_n + \alpha\mathbf{L}$  and  $\mathbf{Y} = \mathbf{I}_n + \alpha\mathbf{L}_p$  then

$$\begin{aligned} \|g(\mathbf{L}) - g(\mathbf{L}_p)\|_2 &= \|\mathbf{X}^{-1} - \mathbf{Y}^{-1}\|_2 = \|\mathbf{X}^{-1}(\mathbf{Y} - \mathbf{X})\mathbf{Y}^{-1}\|_2 \\ &\leq \|\mathbf{X}^{-1}\|_2 \|\mathbf{Y}^{-1}\|_2 \|\mathbf{X} - \mathbf{Y}\|_2 \leq \|\mathbf{X} - \mathbf{Y}\|_2 = \alpha\|\mathbf{L} - \mathbf{L}_p\|_2 \end{aligned}$$

# Linear stability of spectral graph filters

$$\frac{\|g_\theta(\mathbf{L})\mathbf{x} - g_\theta(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_\theta(\mathbf{L}) - g_\theta(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

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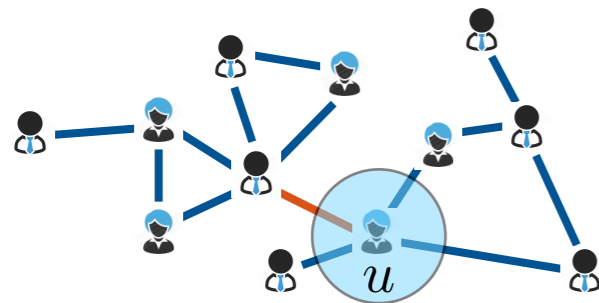
$$\begin{aligned} \|g(\mathbf{L}) - g(\mathbf{L}_p)\|_2 &= \|\mathbf{X}^{-1} - \mathbf{Y}^{-1}\|_2 = \|\mathbf{X}^{-1}(\mathbf{Y} - \mathbf{X})\mathbf{Y}^{-1}\|_2 \\ &\leq \|\mathbf{X}^{-1}\|_2 \|\mathbf{Y}^{-1}\|_2 \|\mathbf{X} - \mathbf{Y}\|_2 \leq \|\mathbf{X} - \mathbf{Y}\|_2 = \alpha\|\mathbf{L} - \mathbf{L}_p\|_2 \end{aligned}$$

Table 1. Examples of linearly stable graph filters used for machine learning.

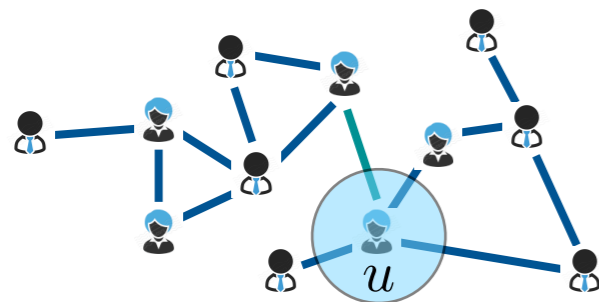
Filter	Functional form	GSO	Stability constant $C$	Use
Polynomial filter	$\sum_{k=0}^K \theta_k \lambda^k$	$\frac{2\mathbf{L}}{\lambda_{\max}} - \mathbf{I}_n$	$\sum_{k=1}^K k \theta_k $	Chebnet (Defferrard et al., 2016)
Low-pass filter	$(1 + \alpha\lambda)^{-1}$	$\mathbf{L}$	$\alpha$	Low-pass filtering (Ramakrishna et al., 2020)
Monomial	$\lambda^K$	$\tilde{\mathbf{D}}^{-1/2} \tilde{\mathbf{A}} \tilde{\mathbf{D}}^{-1/2}$	$K$	Simple GCN (Wu et al., 2019)
Identity	$\lambda$	$\tilde{\mathbf{D}}^{-1/2} \tilde{\mathbf{A}} \tilde{\mathbf{D}}^{-1/2}$	1	GCN (Kipf & Welling, 2017)

# Bounding error w.r.t. topological change

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$



original graph



perturbed graph

$\mathcal{A}_u, \mathcal{D}_u, \mathcal{R}_u$  : sets of added/deleted/remained neighbours of  $u$

$\Delta_u^-, \Delta_u^+$  : #edges deleted/added at  $u$

$d_u, d'_u$  : degree of  $u$  before/after

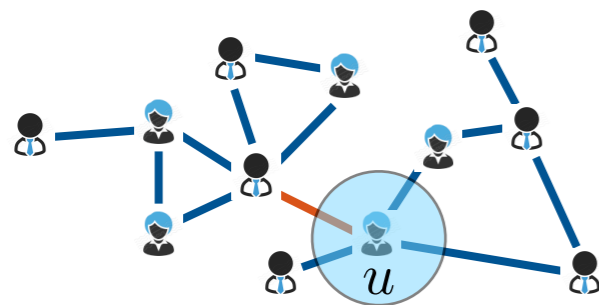
$\delta_u, \delta'_u$  : smallest degree of neighbours of  $u$  before/after

$\alpha_u : \max_{v \in \mathcal{N}_u \cup \{u\}} |\Delta_v^+ - \Delta_v^-| / d_v$

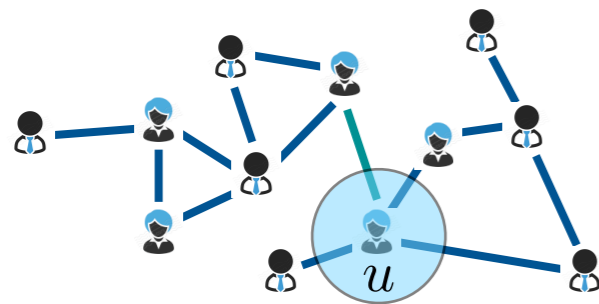


# Bounding error w.r.t. topological change

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$



original graph



perturbed graph

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$\delta_u, \delta'_u$  : smallest degree of neighbours of  $u$  before/after

$$\alpha_u : \max_{v \in \mathcal{N}_u \cup \{u\}} |\Delta_v^+ - \Delta_v^-| / d_v$$

$$\Delta_u^+ = 1, \Delta_u^- = 1$$

$$d_u = 4, d'_u = 4$$

$$\delta_u = 1, \delta'_u = 1$$

$$\alpha_u = 0.2$$

# Bounding error w.r.t. topological change

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

**Idea** 1.  $\|\mathbf{E}\|_2^2 \leq \|\mathbf{E}\|_1 \|\mathbf{E}\|_{\infty} \rightarrow \|\mathbf{E}\|_2 \leq \|\mathbf{E}\|_1 = \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1$

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$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

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3.  $\sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u d_v}} \leq \sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u \delta_u}} = \frac{\Delta_u^-}{\sqrt{d_u \delta_u}}$

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3.  $\sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u d_v}} \leq \sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u \delta_u}} = \frac{\Delta_u^-}{\sqrt{d_u \delta_u}}$

4.  $\sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u d'_v}} \leq \sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u \delta'_u}} = \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}}$

# Bounding error w.r.t. topological change

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

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3.  $\sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u d_v}} \leq \sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u \delta_u}} = \frac{\Delta_u^-}{\sqrt{d_u \delta_u}}$

4.  $\sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u d'_v}} \leq \sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u \delta'_u}} = \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}}$

5.  $\sum_{v \in \mathcal{R}_u} \left| \frac{1}{\sqrt{d_u d_v}} - \frac{1}{\sqrt{d'_u d'_v}} \right| \leq \sum_{v \in \mathcal{R}_u} \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{1}{\sqrt{d_u d_v}} \leq \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \quad (\text{for } \alpha_u < 1)$

# Bounding error w.r.t. topological change

$$\frac{\|g_\theta(\mathbf{L})\mathbf{x} - g_\theta(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_\theta(\mathbf{L}) - g_\theta(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

**Idea** 1.  $\|\mathbf{E}\|_2^2 \leq \|\mathbf{E}\|_1 \|\mathbf{E}\|_\infty \rightarrow \|\mathbf{E}\|_2 \leq \|\mathbf{E}\|_1 = \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1$

2.  $\|\mathbf{E}_u\|_1 = \sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u d_v}} + \sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u d'_v}} + \sum_{v \in \mathcal{R}_u} \left| \frac{1}{\sqrt{d_u d_v}} - \frac{1}{\sqrt{d'_u d'_v}} \right|$

3.  $\sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u d_v}} \leq \sum_{v \in \mathcal{D}_u} \frac{1}{\sqrt{d_u \delta_u}} = \frac{\Delta_u^-}{\sqrt{d_u \delta_u}}$

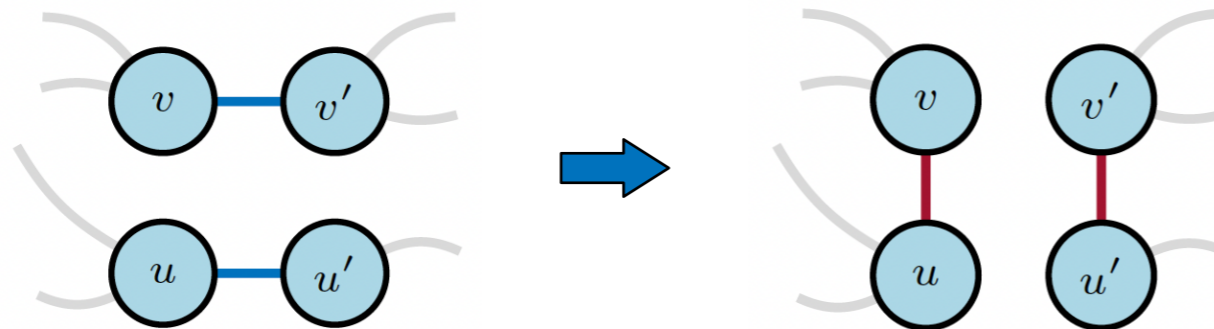
4.  $\sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u d'_v}} \leq \sum_{v \in \mathcal{A}_u} \frac{1}{\sqrt{d'_u \delta'_u}} = \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}}$

5.  $\sum_{v \in \mathcal{R}_u} \left| \frac{1}{\sqrt{d_u d_v}} - \frac{1}{\sqrt{d'_u d'_v}} \right| \leq \sum_{v \in \mathcal{R}_u} \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{1}{\sqrt{d_u d_v}} \leq \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \quad (\text{for } \alpha_u < 1)$

linking stability to change in **node degrees** due to perturbation

# Special case: double edge rewiring

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$



$$\Delta_u^+ = \Delta_u^- = r_u$$

$$d_u = d'_u$$

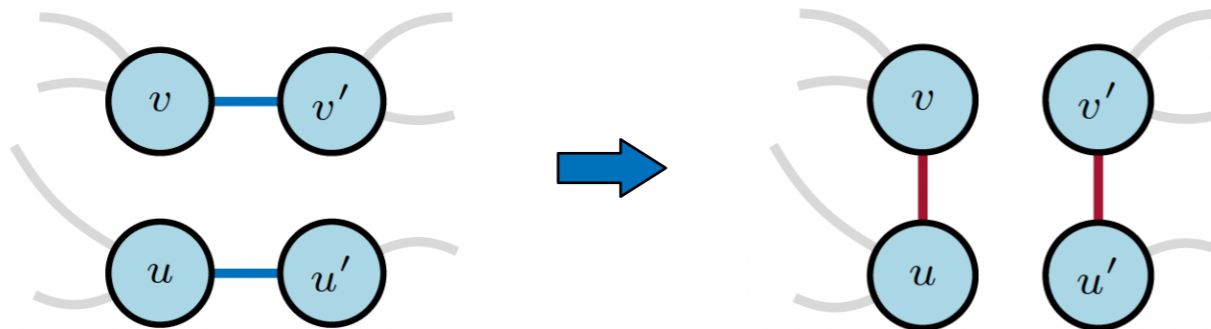
$$\delta_u = \delta'_u$$

$$\alpha_u = 0$$



# Special case: double edge rewiring

$$\frac{\|g_\theta(\mathbf{L})\mathbf{x} - g_\theta(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_\theta(\mathbf{L}) - g_\theta(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \frac{2r_u}{\sqrt{d_u \delta_u}}$$



$$\Delta_u^+ = \Delta_u^- = r_u$$

$$d_u = d'_u$$

$$\delta_u = \delta'_u$$

$$\alpha_u = 0$$

# Interpretable stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

**Interpretation:** A perturbation causes small change in output if  $\max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1$  is small

# Interpretable stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

**Interpretation:** A perturbation causes small change in output if  $\max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1$  is small

$$\|\mathbf{E}_u\|_1 \approx \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} \quad \text{for small } \alpha \quad (\alpha \approx 0)$$

# Interpretable stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

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- small  $\|\mathbf{E}_u\|_1$  for one node  $\rightarrow$  add/delete edges at **high-degree nodes**

# Interpretable stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

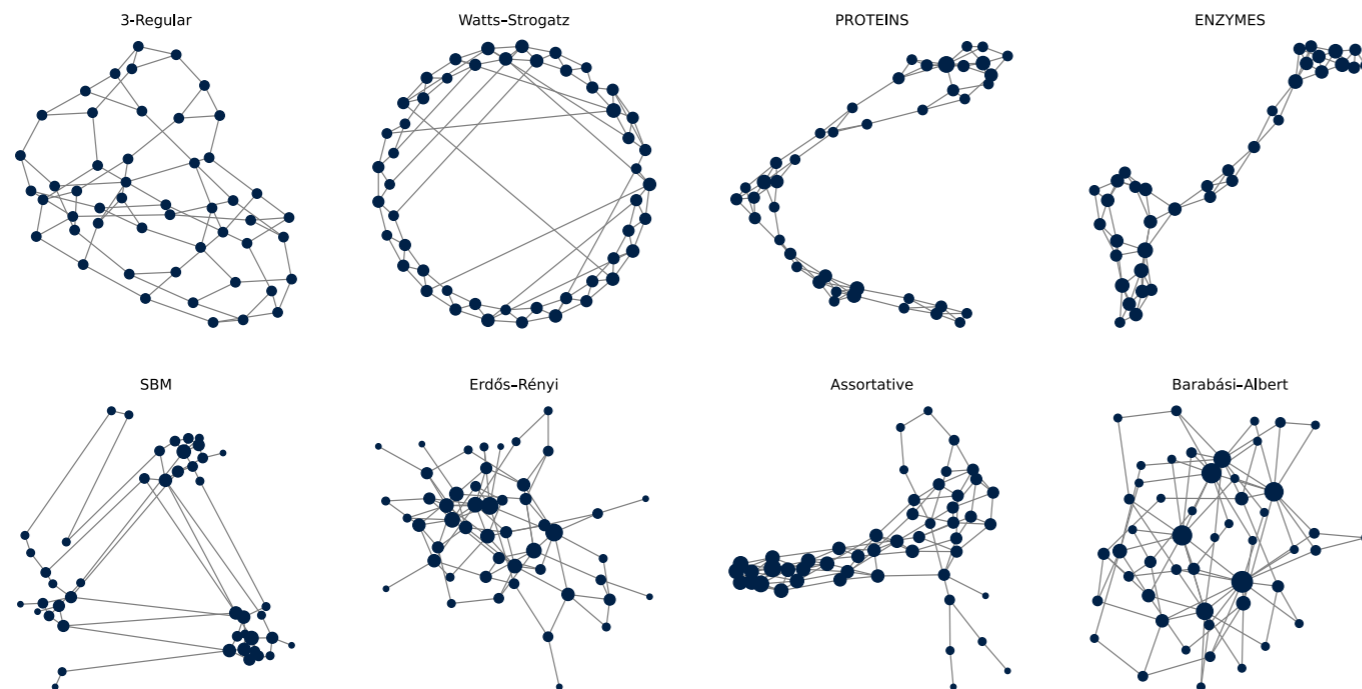
**Interpretation:** A perturbation causes small change in output if  $\max_{u \in \mathcal{V}} \|\mathbf{E}_u\|_1$  is small

$$\|\mathbf{E}_u\|_1 \approx \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} \text{ for small } \alpha \text{ } (\alpha \approx 0)$$

- small  $\|\mathbf{E}_u\|_1$  for one node  $\rightarrow$  add/delete edges at **high-degree nodes**
- small  $\|\mathbf{E}_u\|_1$  for all nodes  $\rightarrow$  perturbation **distributed across graph**

# Experimental setting

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

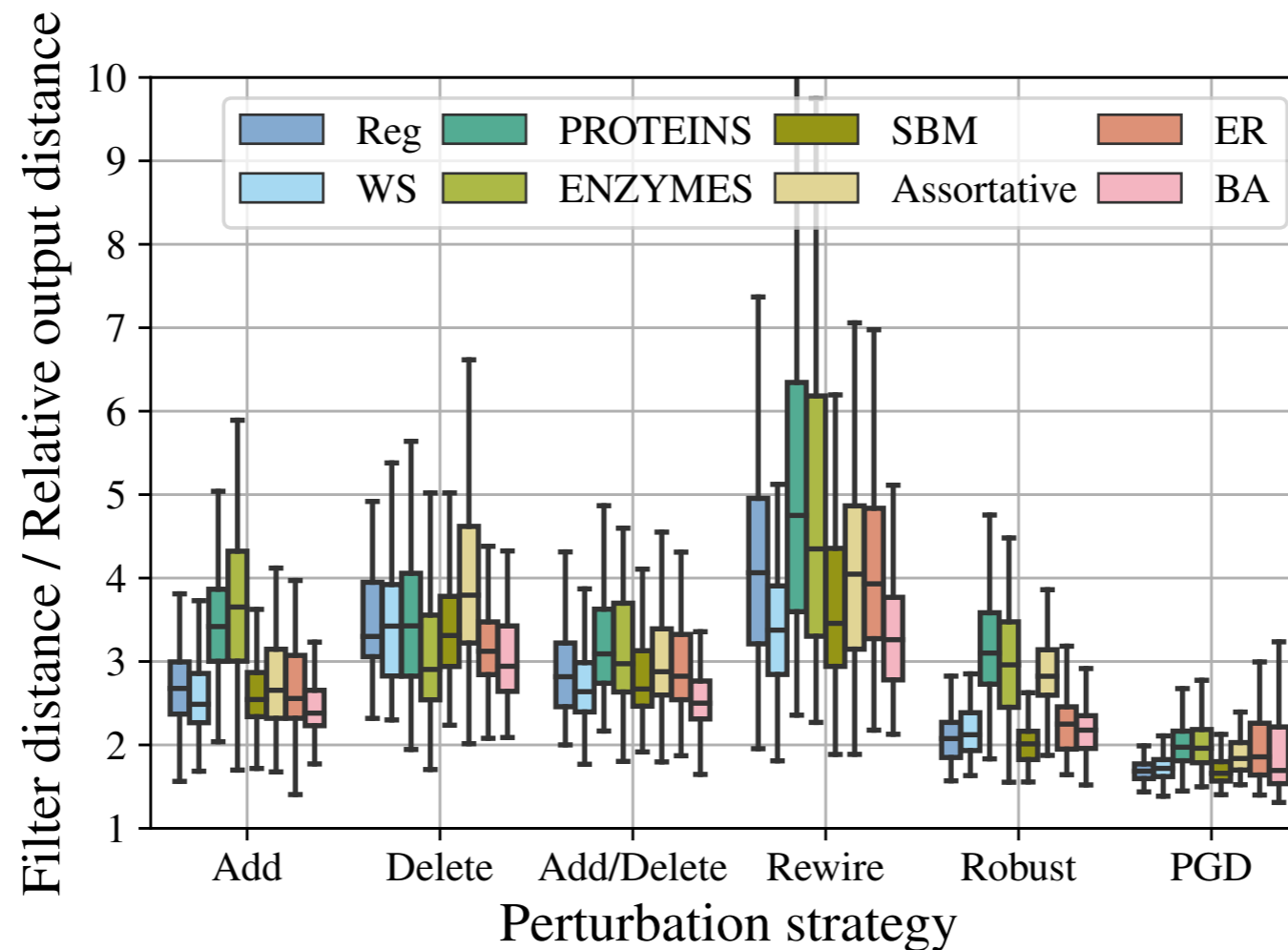


## Perturbation strategies

- Add: random add
- Delete: random delete
- Add/Delete: random add/delete
- Rewire: double edge rewiring
- Robust: minimise  $\|\mathbf{E}\|_1$
- PGD: maximise relative output distance

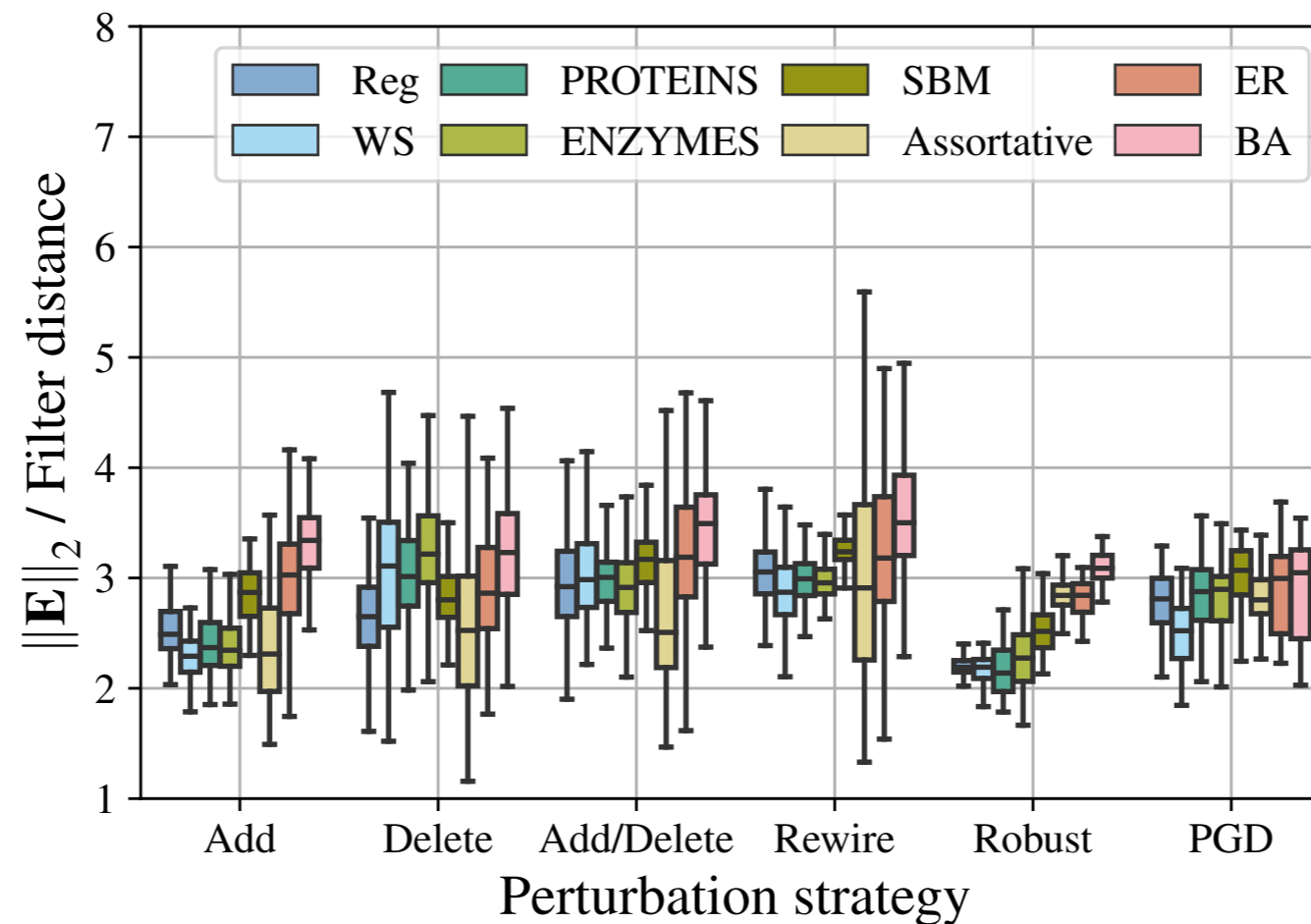
# Analysis of stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$



# Analysis of stability bounds

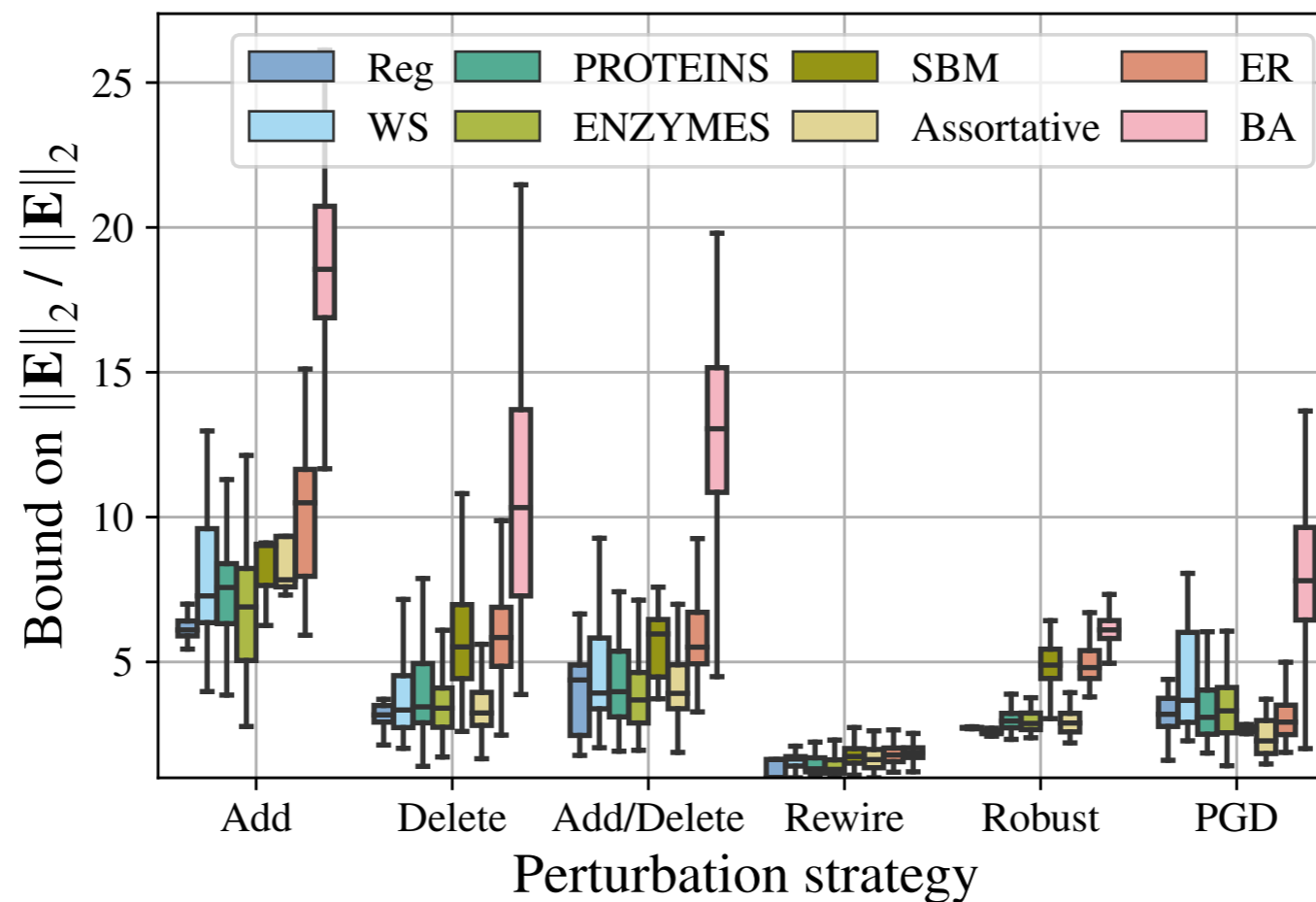
$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$





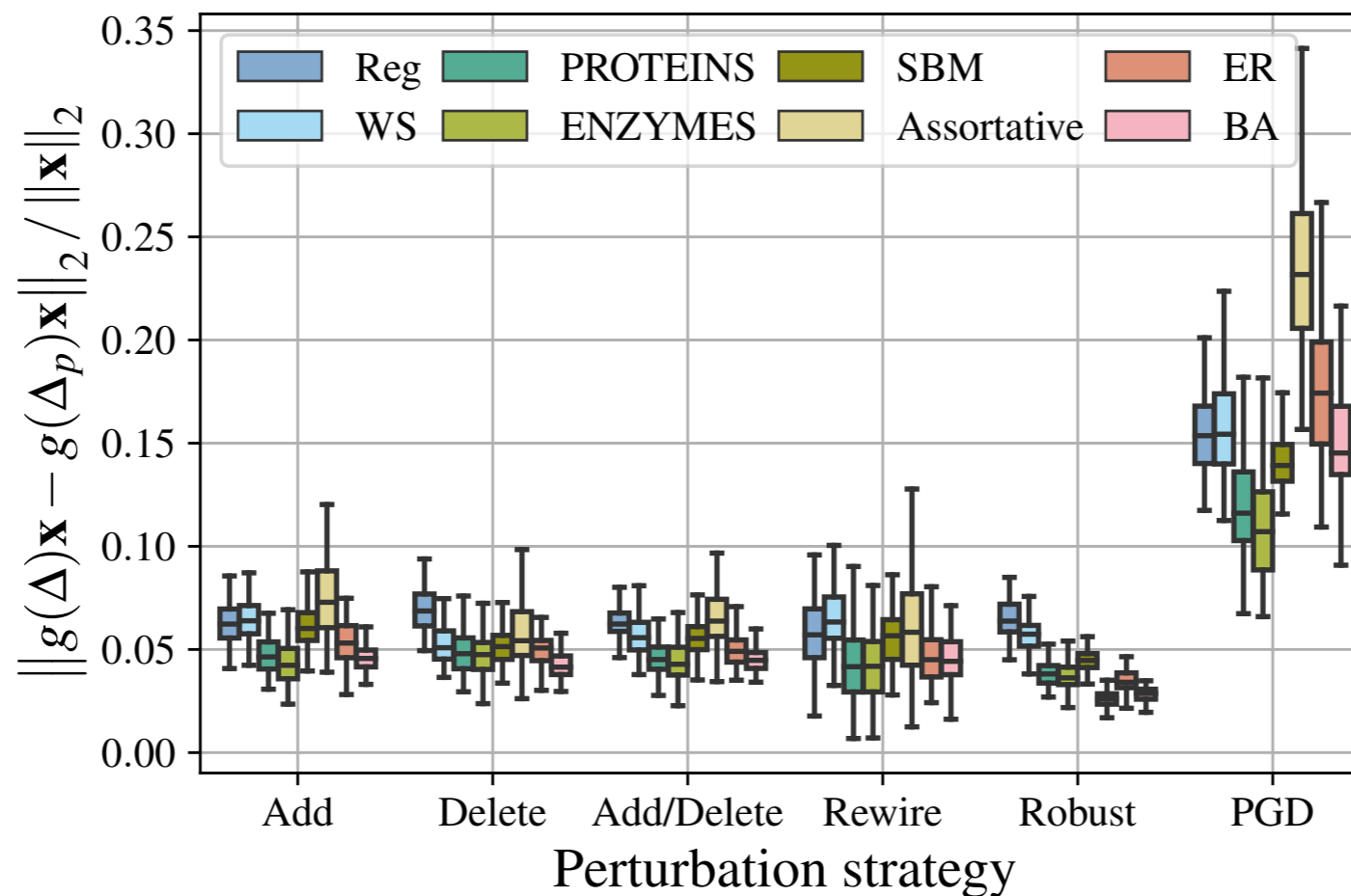
# Analysis of stability bounds

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

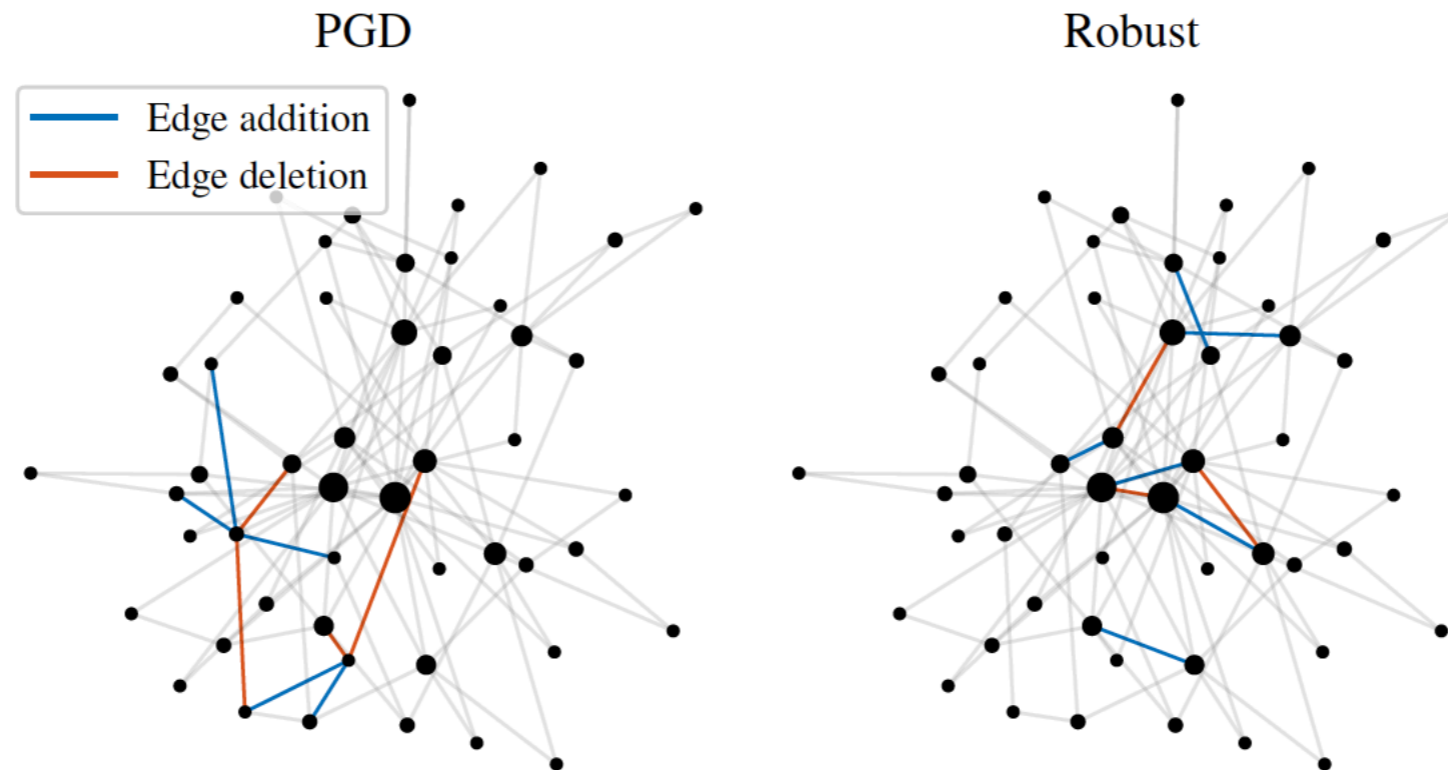


# Analysis of relative output distance

$$\frac{\|g_{\theta}(\mathbf{L})\mathbf{x} - g_{\theta}(\mathbf{L}_p)\mathbf{x}\|_2}{\|\mathbf{x}\|_2} \leq \|g_{\theta}(\mathbf{L}) - g_{\theta}(\mathbf{L}_p)\|_2 \leq C\|\mathbf{E}\|_2 \leq C \max_{u \in \mathcal{V}} \left\{ \frac{\Delta_u^-}{\sqrt{d_u \delta_u}} + \frac{\Delta_u^+}{\sqrt{d'_u \delta'_u}} + \left( \frac{\alpha_u}{1 - \alpha_u} \right) \frac{d_u - \Delta_u^-}{\sqrt{d_u \delta_u}} \right\}$$

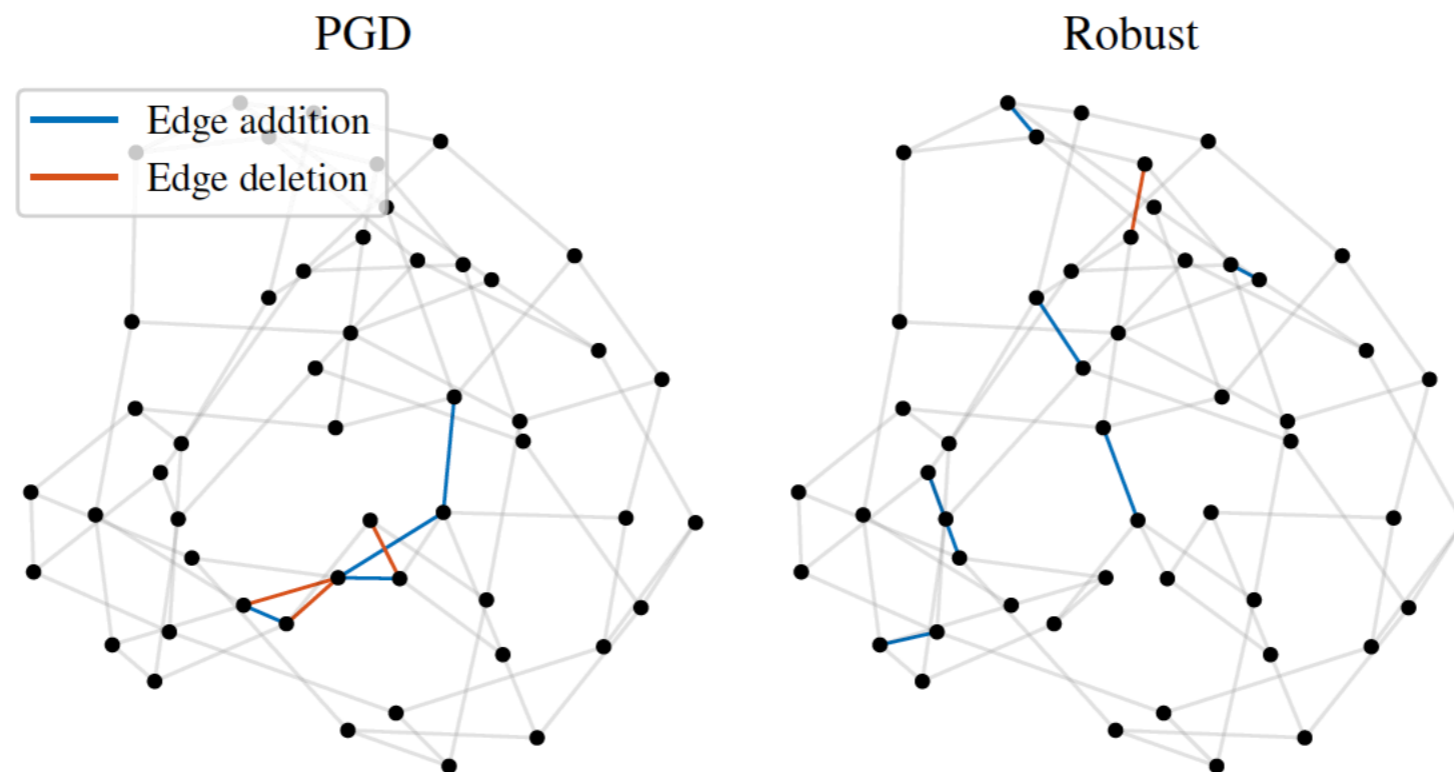


# Analysis of perturbation examples



*Figure 4.* Perturbations of BA graphs ( $n = 50$ ). The original and both perturbed graphs have a diameter of 5. The size of the node is proportional to the node degree.

# Analysis of perturbation examples



*Figure 5.* Perturbations of 3-regular graphs ( $n = 50$ ).

# Outline



- Brief introduction to spectral graph filters
- Interpretable stability bounds for spectral graph filters
- Further results on robustness of graph machine learning models

# Stability results on spectral GNNs

- GCN and SGCN: GNNs based on spectral graph filters
- Normalised augmented adjacency matrix + double edge rewiring

GCN

$$\mathbf{X}^{(l)} = \sigma(\Delta \mathbf{X}^{(l-1)} \Theta^{(l)})$$

SGCN

$$\mathbf{Y} = \text{softmax}(\Delta^K \mathbf{X} \Theta)$$

$$\underbrace{\|\mathbf{X}^{(L)} - \mathbf{X}_p^{(L)}\|_F}_{\text{GCN output change}} \leq \underbrace{\sqrt{d}}_{\text{data}} \underbrace{L \prod_{l=1}^L \|\Theta^{(l)}\|_2}_{\text{model}} \underbrace{\|\mathbf{E}\|_2}_{\text{structural change}}$$

$$\underbrace{\|\Delta^K \mathbf{X} \Theta - \Delta_p^K \mathbf{X} \Theta\|_F}_{\text{SGCN output change}} \leq \underbrace{\sqrt{d}}_{\text{data}} \underbrace{K \Theta}_{\text{model}} \underbrace{\|\mathbf{E}\|_2}_{\text{structural change}}$$

# Stability results on spectral GNNs

- GCN and SGCN: GNNs based on spectral graph filters
- Normalised augmented adjacency matrix + double edge rewiring

GCN

$$\mathbf{X}^{(l)} = \sigma(\Delta \mathbf{X}^{(l-1)} \Theta^{(l)})$$

SGCN

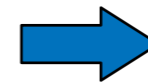
$$\mathbf{Y} = \text{softmax}(\Delta^K \mathbf{X} \Theta)$$

$$\underbrace{\|\mathbf{X}^{(L)} - \mathbf{X}_p^{(L)}\|_F}_{\text{GCN output change}} \leq \underbrace{\sqrt{d}}_{\text{data}} \underbrace{L \prod_{l=1}^L \|\Theta^{(l)}\|_2}_{\text{model}} \underbrace{\|\mathbf{E}\|_2}_{\text{structural change}}$$

$$\underbrace{\|\Delta^K \mathbf{X} \Theta - \Delta_p^K \mathbf{X} \Theta\|_F}_{\text{SGCN output change}} \leq \underbrace{\sqrt{d}}_{\text{data}} \underbrace{K \Theta}_{\text{model}} \underbrace{\|\mathbf{E}\|_2}_{\text{structural change}}$$

+

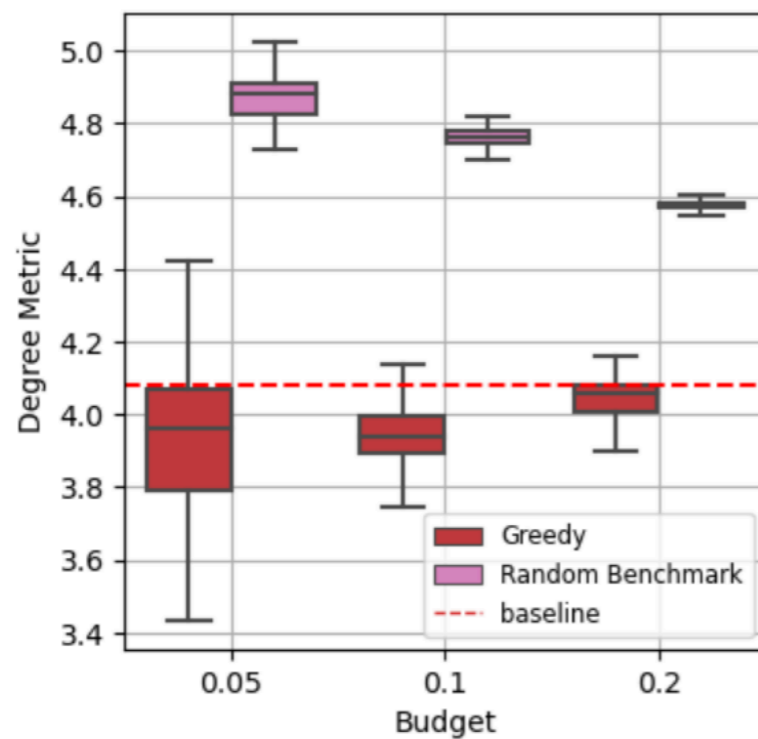
$$\underbrace{\|\mathbf{E}\|_2}_{\text{perturbation}} \leq \max_{u \in \mathcal{V}} \frac{2R_u}{\sqrt{(d_u + \gamma)(\delta_u + \gamma)}}$$



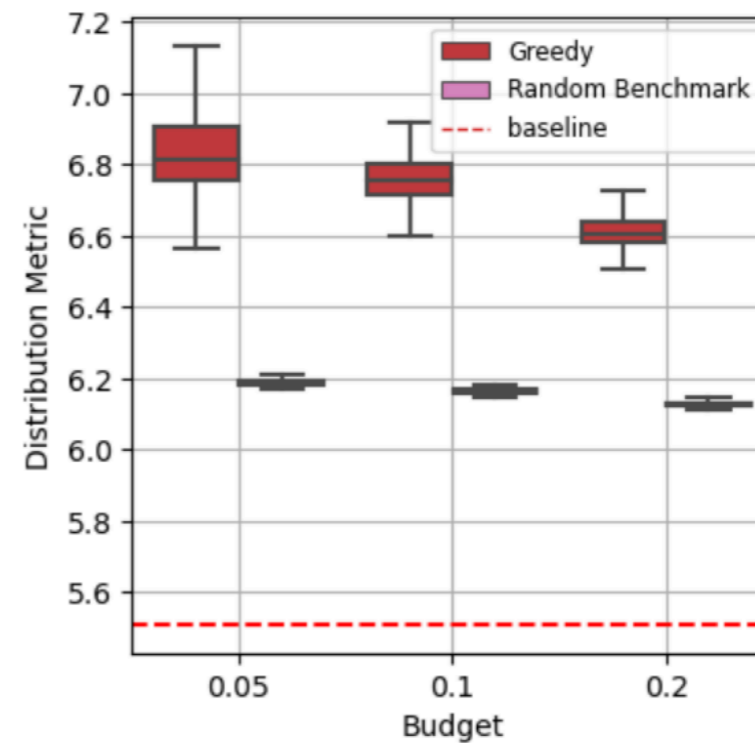
linking GNN output to  
change in **node degrees**

# Stability results on spectral GNNs

- Evaluation of insight provided by bound on node classification task
- “high-degree” helps but not “high spatial distribution”: influence of task!



(a) Degree Metric for Greedy Attacks



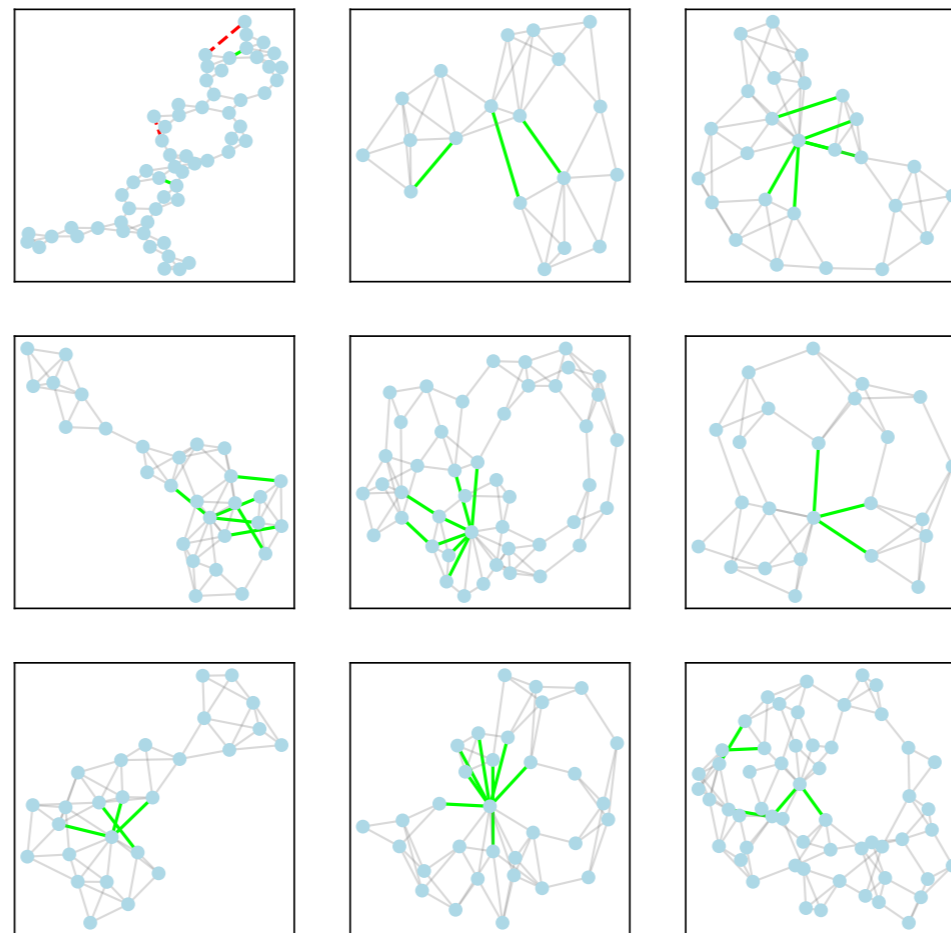
(b) Distribution Metric for Greedy Attacks



# Patterns of adversarial attacks on GNNs

- Optimisation of topological attacks on graph classification
- Common topological patterns of successful attacks

PROTEINS+GCN: clustered adversarial perturbation

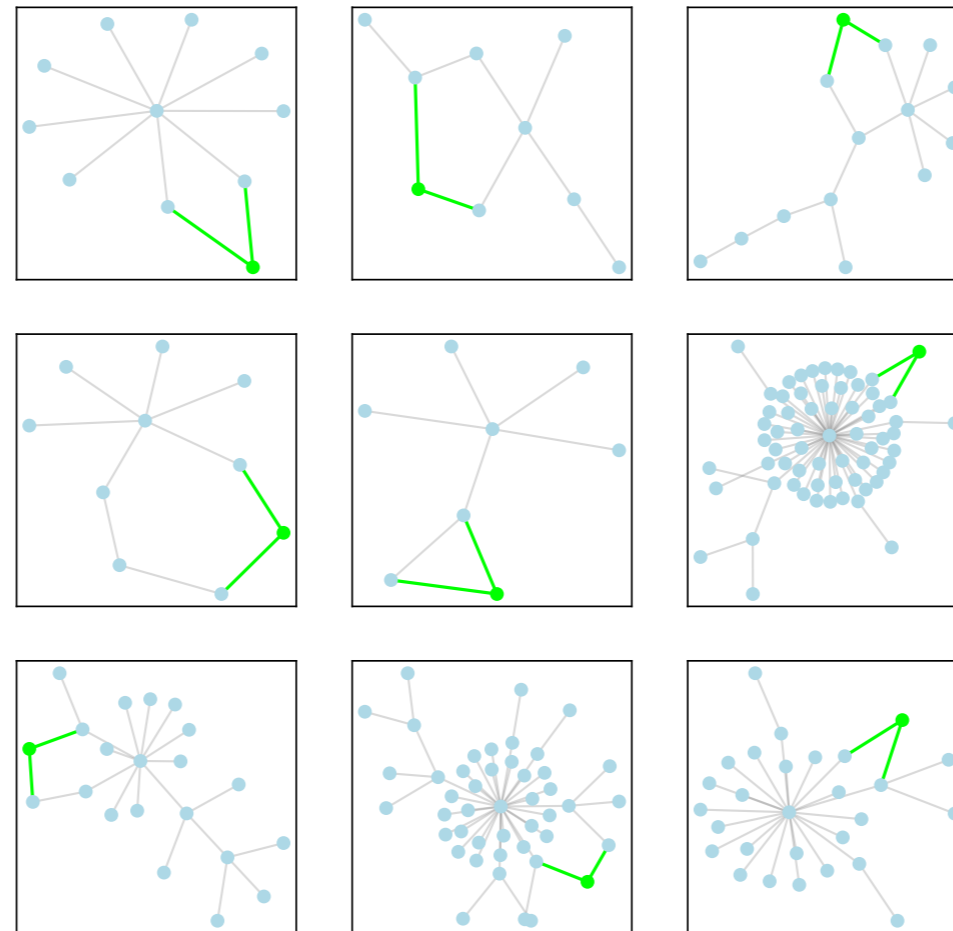


— edge addition — edge deletion

# Patterns of adversarial attacks on GNNs

- Optimisation of topological attacks on graph classification
- Common topological patterns of successful attacks

Twitter+GCN: attack low-degree nodes

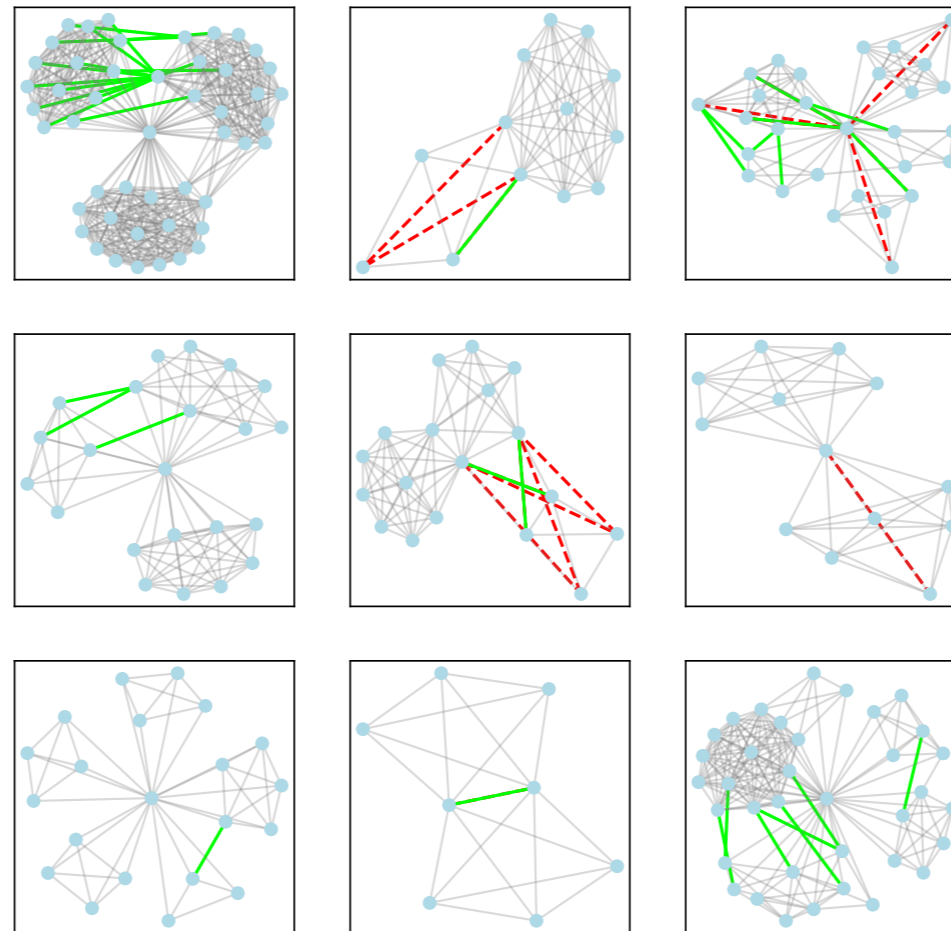


— edge addition — edge deletion

# Patterns of adversarial attacks on GNNs

- Optimisation of topological attacks on graph classification
- Common topological patterns of successful attacks

IMDB-B+GCN: modify/destroy communities



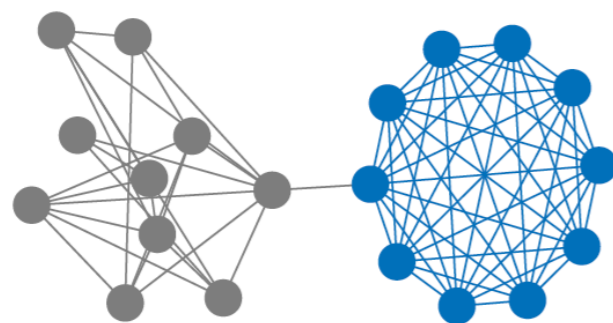
— edge addition — edge deletion

# Structure-aware robustness certificates

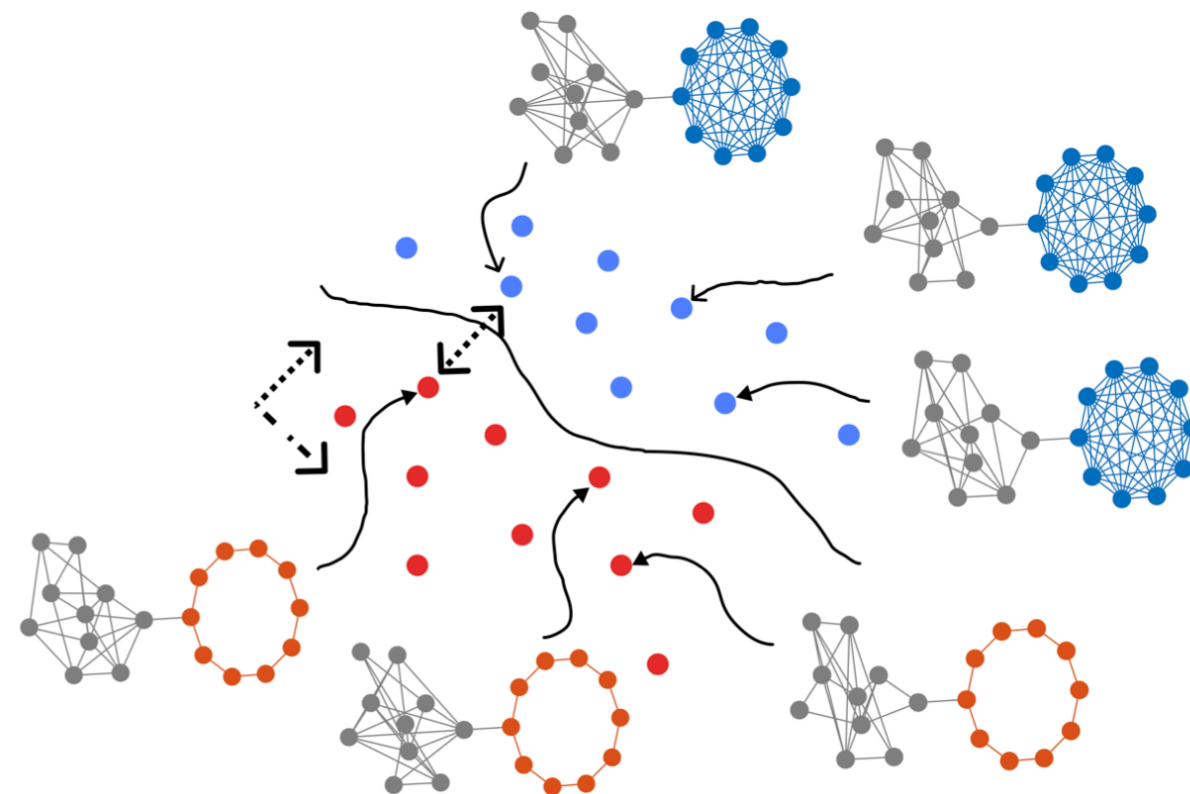
- Certified robustness on graph classification against topological attacks
- Quality of certificates depends on relative importance of substructure



(a) Graph with negative label.

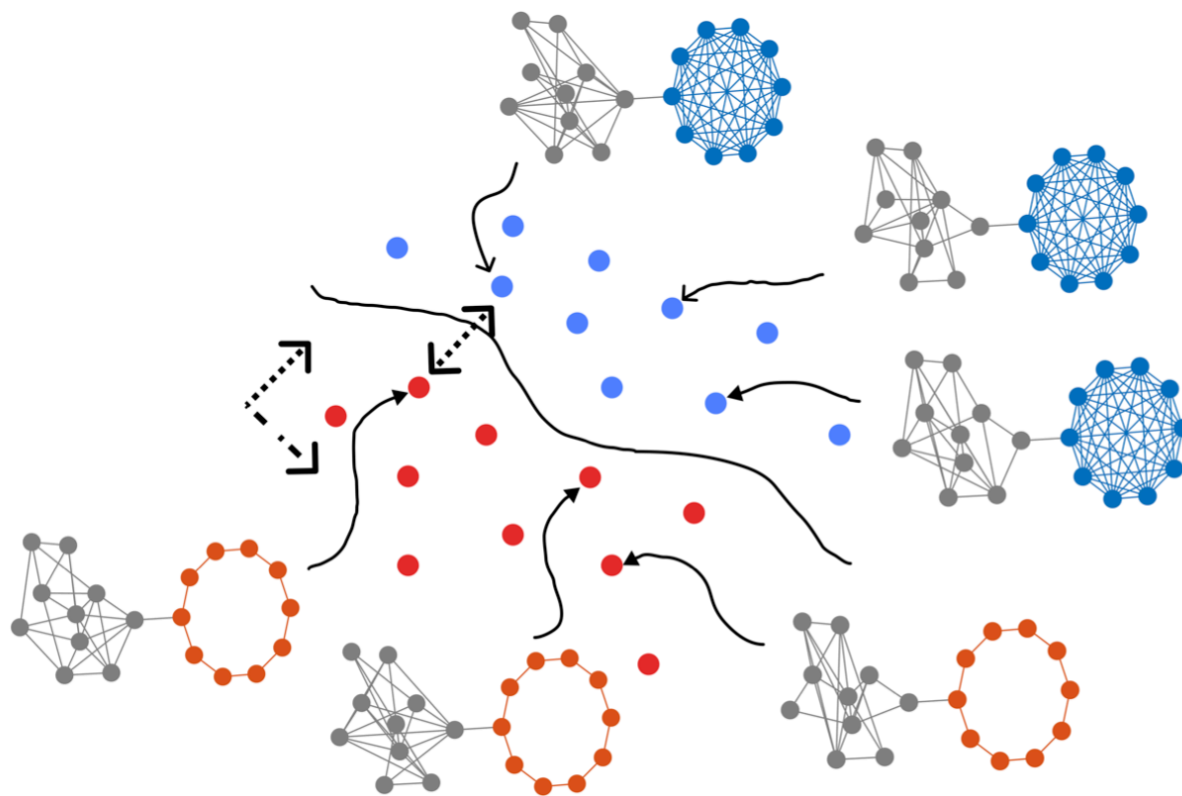


(b) Graph with positive label.



# Structure-aware robustness certificates

- Certified robustness on graph classification against topological attacks
- Quality of certificates depends on relative importance of substructure



5	0	0	0	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0	0	0	0
2	0.79	0.79	0.71	0	0	0	0	0	0	0	0
1	0.79	0.79	0.79	0.79	0.79	0.79	0	0	0	0	0
0	1	0.86	0.79	0.79	0.79	0.79	0.79	0.79	0.71	0.71	0
	0	1	2	3	4	5	6	7	8	9	10

Edge deletions

Edge additions

(a) Anisotropic.

5	0	0	0	0	0	0	0	0	0	0	0
4	0.71	0	0	0	0	0	0	0	0	0	0
3	0.71	0	0	0	0	0	0	0	0	0	0
2	0.79	0.79	0.71	0	0	0	0	0	0	0	0
1	0.79	0.79	0.79	0.79	0.71	0	0	0	0	0	0
0	1	0.86	0.79	0.79	0.79	0.79	0	0	0	0	0
	0	1	2	3	4	5	6	7	8	9	10

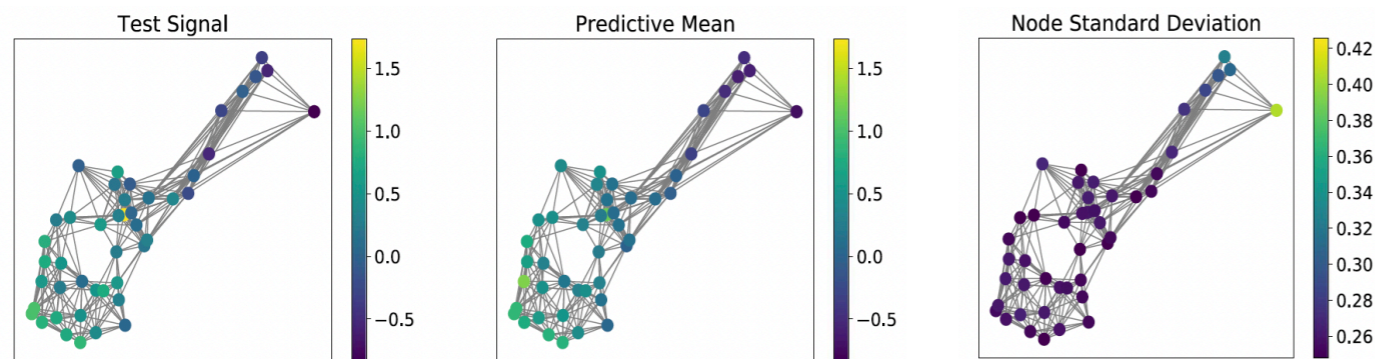
Edge deletions

Edge additions

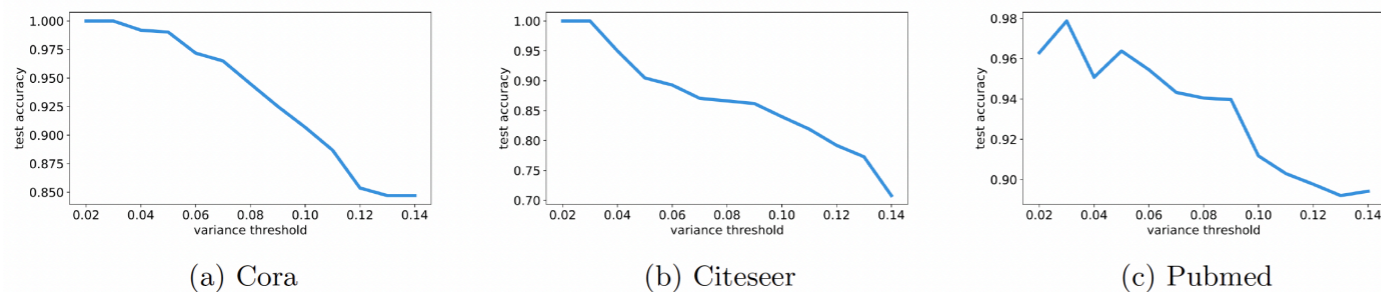
(b) Sparsity-aware.

# Probabilistic modelling on graphs

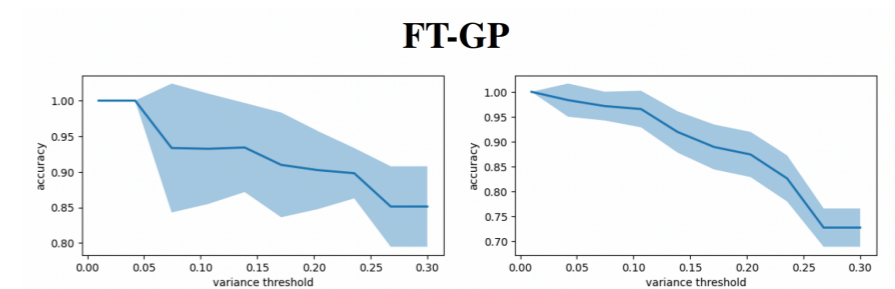
- Most existing graph models lack uncertainty estimation
- Probabilistic modelling via Gaussian processes



## node regression

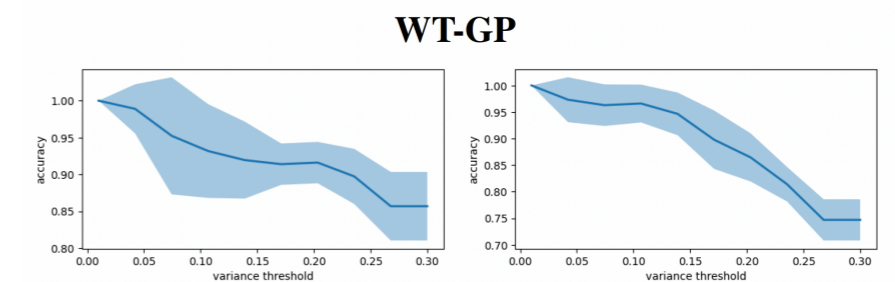


## node classification



(a) MUTAG

(b) IMDB-BINARY



(c) MUTAG

(d) IMDB-BINARY

## graph classification

# Summary



- Stability (and more generally robustness) of graph signal processing and machine learning models is an important problem
- Many open questions on robustness of graph models: data collection, model selection, training, inference
- Topological properties of the graph domain and perturbation often provide useful insight (but tasks are important too!)
- Probabilistic modelling (e.g. Gaussian processes, Bayesian inference) can help provide uncertainty estimation
- Interdisciplinary area connecting signal processing and machine learning with graph theory, geometry and topology

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